

Proposal of the KOTO II experiment

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Preface

We propose the KOTO II experiment at J-PARC, a next-generation experiment to measure the branching ratio of the $K_L \rightarrow \pi^0 \nu \bar{\nu}$ decay. The experiment is a successor to the KOTO experiment, which is searching for the $K_L \rightarrow \pi^0 \nu \bar{\nu}$ decay with a single event sensitivity below 10^{-10} . Based on the experience in KOTO, the KOTO II experiment aims to achieve a single event sensitivity below 10^{-12} , which is much smaller than the Standard Model prediction for the $K_L \rightarrow \pi^0 \nu \bar{\nu}$ branching ratio of 3×10^{-11} , allowing searches for new physics beyond the standard model as well as the first discovery of the $K_L \rightarrow \pi^0 \nu \bar{\nu}$ decay with significance exceeding 5σ . As the only experiment in the world dedicated to rare kaon decays, KOTO II will be indispensable in the quest for a complete understanding of flavor dynamics in the quark sector. Moreover, by combining efforts from kaon community worldwide, we plan to further develop the KOTO II detector and expand the physics reach of the experiment to include measurements of the branching ratio of the $K_L \rightarrow \pi^0 \ell^+ \ell^-$ decays, studies of other K_L decays, and searches for dark photons, axions, and axion-like particles. KOTO II will therefore obtain a comprehensive understanding of K_L decays, providing further constraints on new physics scenarios when combined with existing K^+ results.

1 Physics motivation

For 75 years, experimental studies of kaon decays have played a unique role in propelling the development of the Standard Model. As in other branches of flavor physics, the continuing experimental interest in the kaon sector derives from the possibility of conducting precision measurements, particularly of suppressed or rare processes, which may reveal the effects of new physics with mass-scale sensitivity exceeding that which can be explored directly, e.g., at the LHC or a next-generation collider.

Because of the relatively small number of kaon decay modes and the relatively simple final states, combined with the relative ease of producing intense kaon beams, kaon decay experiments are in many ways the quintessential intensity-frontier experiments.

While some rare kaon decays can also be studied at colliders, namely at LHCb, the golden modes $K \rightarrow \pi \nu \bar{\nu}$ require specifically designed, dedicated experiments due to the presence of final-state neutrinos. Since the kaon physics program at CERN will terminate in 2026, KOTO II represents the only facility worldwide dedicated to rare kaon decays, and specifically, to these golden channels.

Measurements of quantities well predicted by the Standard Model (SM), like $\mathcal{B}(K \rightarrow \pi \nu \bar{\nu})$, offer model-independent standard candles that can constrain any “beyond SM (BSM)” scenario, present or future. KOTO II will reach unprecedented levels of precision. The status of BSM models in the future is hard to predict, but KOTO II measurements will be durable standards against which many of those models will be judged.

1.1 The $K_L \rightarrow \pi^0 \nu \bar{\nu}$ decay

The rare kaon decay $K_L \rightarrow \pi^0 \nu \bar{\nu}$ provides a unique opportunity to search for physics beyond the SM. The decay proceeds by a flavor-changing neutral current (FCNC) from a strange to a down quark ($s \rightarrow d$ transition) through loop effects expressed by the electroweak penguin and box diagrams shown in Fig. 1. The $s \rightarrow d$ transition is most strongly suppressed in the SM among other FCNC transitions (such as $b \rightarrow d$ and $b \rightarrow s$) due to

the Glashow-Iliopoulos-Maiani (GIM) mechanism and the hierarchical structure of the Cabibbo-Kobayashi-Maskawa (CKM) matrix. On the other hand, the flavor structure on new physics is not in general expected to exhibit the CKM hierarchies. The contribution of new physics in the loop could be observed as the deviation of the branching ratio from the SM prediction, even if the energy scale of new physics is more than 100 TeV, which can not be reached by the LHC [1].

The SM prediction of the branching ratio (BR) on the $K_L \rightarrow \pi^0 \nu \bar{\nu}$ decay [2, 3, 4] is $(2.94 \pm 0.15) \times 10^{-11}$ [4]. The uncertainties are dominated by the parametric uncertainties on the CKM elements, and the theoretical uncertainties are only 2% [2], because the decay is entirely governed by short-distance physics involving the top quark. The long-distance interaction mediated by photons does not exist, and the hadronic matrix element can be precisely estimated by $K \rightarrow \pi e \nu$ data. Therefore, the small effects of new physics stand out against the SM contribution.

In addition, the $K_L \rightarrow \pi^0 \nu \bar{\nu}$ decay is sensitive to new physics that violates CP symmetry, because the K_L is mostly CP -odd, while $\pi^0 \nu \bar{\nu}$ is CP -even. On the other hand, the charged-mode decay, $K^+ \rightarrow \pi^+ \nu \bar{\nu}$, has contributions from both the CP -violating and CP -conserving processes. The $K_L \rightarrow \pi^0 \nu \bar{\nu}$ and $K^+ \rightarrow \pi^+ \nu \bar{\nu}$ decay branching ratios are connected under isospin symmetry, leading to a model-independent bound [5] $\mathcal{B}(K_L \rightarrow \pi^0 \nu \bar{\nu}) < 4.3 \times \mathcal{B}(K^+ \rightarrow \pi^+ \nu \bar{\nu})$. Figure 2, reproduced from [6], shows how the BRs of the $K_L \rightarrow \pi^0 \nu \bar{\nu}$ and $K^+ \rightarrow \pi^+ \nu \bar{\nu}$ would be affected due to new physics effects. In some scenarios, these two BRs have a strong correlation, allowing the new physics scenario to be distinguished by measurement of both branching ratios.

The BRs of the $K \rightarrow \pi \nu \bar{\nu}$ decays are related to other flavor observables, such as lepton-flavor universality violation in the B sector. Most theoretical models put forward to explain such phenomena have strong couplings to the third generation fermions which cause significant effects on the BRs of the $K \rightarrow \pi \nu \bar{\nu}$ decays through couplings to τ neutrinos [7]. Together with observables in the B sector, measurements of BRs of the $K \rightarrow \pi \nu \bar{\nu}$ decays provide crucial information for the investigation of the flavor structure in new physics.

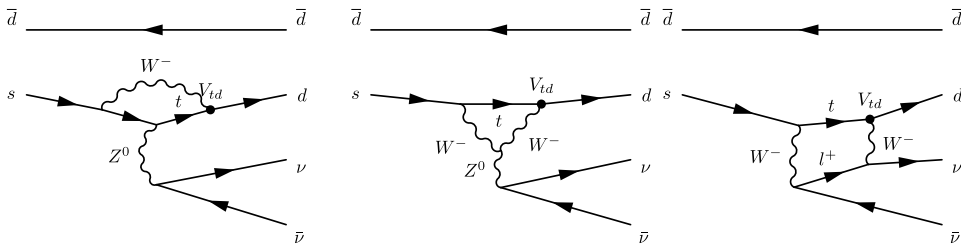


Figure 1: Feynman diagrams for the $K_L \rightarrow \pi^0 \nu \bar{\nu}$ decay in the Standard Model.

The rare kaon decays investigated by KOTO II offer the possibility to search for BSM physics with a global fit technique, for example, in the context of lepton-flavor universality (LFU) tests. In the SM, the three lepton flavors (e , μ , and τ) have exactly the same gauge interactions and are distinguished only through their couplings to the Higgs field and hence the charged lepton masses. BSM models, on the other hand, do not necessarily conform to the lepton-flavor universality hypothesis and may thereby induce subtle differences between the different generations that cannot be attributed to the different masses. Among the most sensitive probes of these differences are rare kaon

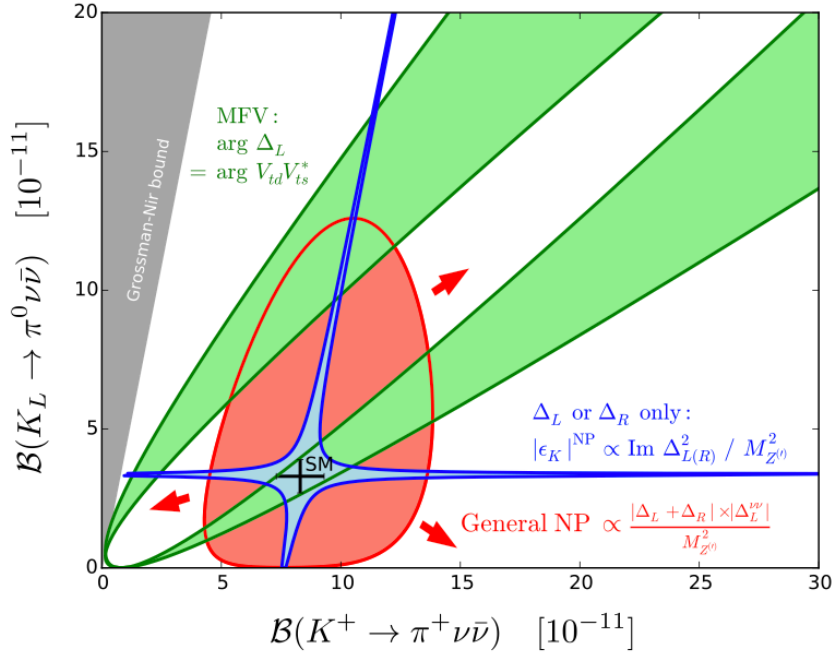


Figure 2: Correlation between $\text{BR}(K_L \rightarrow \pi^0 \nu \bar{\nu})$ and $\text{BR}(K^+ \rightarrow \pi^+ \nu \bar{\nu})$ for various new physics models. The blue region shows the correlation coming from the constraint by the K - \bar{K} mixing parameter ϵ_K if only left-handed or right-handed couplings are present. The green region shows the correlation for models having a CKM-like structure of flavor interactions. The red region shows the lack of correlation for models with general left-handed and right-handed couplings [6].

decays with electrons, muons, or neutrinos in the final state. For BSM scenarios with LFU violation where the NP effects for electrons are different from the those for muons and taus [3, 8], the effect of KOTO II measurements is shown in Figure 3. The figure illustrates the extent to which the parameter space can be reduced by projections corresponding to the final precisions of NA62 and KOTO II for $K_L \rightarrow \pi^0 \nu \bar{\nu}$ and $K_L \rightarrow \pi^0 \ell^+ \ell^-$, and therefore highlights the impact of KOTO II.

Specific models can also be considered to exemplify the impact of KOTO II on BSM searches and the interplay with other experiments, notably in B physics. Recently, correlations with the measurements of $K^+ \rightarrow \pi^+ \nu \bar{\nu}$ by NA62 and evidence for $B^+ \rightarrow K^+ \nu \bar{\nu}$ by Belle II have been highlighted, in the context of a new physics scenario aligned to the third generation, with an approximate $U(2)^5$ flavor symmetry acting on the light families [9]. The slight excess observed in both channels supports the hypothesis of non-standard TeV dynamics of this type, as also hinted at by other B -meson decays, and predicts a similar enhancement for $K_L \rightarrow \pi^0 \nu \bar{\nu}$, as can be seen in Figure 4.

1.2 $K_L \rightarrow \pi^0 \ell^+ \ell^-$ decays

The ultra-rare $K_L \rightarrow \pi^0 \ell^+ \ell^-$ decays ($\ell = e, \mu$) are theoretically clean golden modes in kaon physics, allowing for direct exploration of NP contributions in the $s \rightarrow d \ell \ell$ short-distance interaction (to be compared to the $b \rightarrow s \ell \ell$ transition). As shown in Figure 3, measurement of the $K_L \rightarrow \pi^0 e^+ e^-$ decay rate to the 25% precision would provide crucial complementary information for interpretation of the $K \rightarrow \pi \nu \bar{\nu}$ measurements in terms of NP models with LFU violation.

The SM description of the $K_L \rightarrow \pi^0 \ell^+ \ell^-$ decays is provided in Refs. [10, 11, 12]. The branching ratios depend on the CKM parameter $\lambda_t = V_{ts}^* V_{td}$ as follows:

$$\begin{aligned} \mathcal{B}_{\text{SM}}(K_L \rightarrow \pi^0 e^+ e^-) &= \left(15.7 |a_S|^2 \pm 6.2 |a_S| \left(\frac{\text{Im } \lambda_t}{10^{-4}} \right) + 2.4 \left(\frac{\text{Im } \lambda_t}{10^{-4}} \right)^2 \right) \times 10^{-12}, \\ \mathcal{B}_{\text{SM}}(K_L \rightarrow \pi^0 \mu^+ \mu^-) &= \left(3.7 |a_S|^2 \pm 1.6 |a_S| \left(\frac{\text{Im } \lambda_t}{10^{-4}} \right) + 1.0 \left(\frac{\text{Im } \lambda_t}{10^{-4}} \right)^2 + 5.2 \right) \times 10^{-12}. \end{aligned}$$

In the above expressions, the first three terms represent the indirect CPV contribution due to K_S - K_L mixing, the interference of the indirect and direct CPV contributions (of unknown sign), and the direct CPV contribution determined by short-distance dynamics, respectively. The fourth term in the $K_L \rightarrow \pi^0 \mu^+ \mu^-$ case accounts for the long-distance CP-conserving component due to two-photon intermediate states, which is negligible in the helicity-suppressed $K_L \rightarrow \pi^0 e^+ e^-$ case. The parameter a_S describes the decay form factor, and has been measured with $K_S \rightarrow \pi^0 \ell^+ \ell^-$ decays to be $|a_S| = 1.2 \pm 0.2$ by the NA48/1 experiment at CERN [13, 14]. While the value of $|a_S|$ can be determined from the $K_S \rightarrow \pi^0 \ell^+ \ell^-$ branching ratios, measuring the differential decay distributions as a function of the di-lepton invariant mass would give the form factor parameters, including, in principle, the sign of a_S . The LHCb experiment is planning to measure a_S using the $K_S \rightarrow \pi^0 \mu^+ \mu^-$ decay; the prospects for this measurement are discussed in Ref. [15]. The sign and value of a_S can be in principle also determined theoretically, since the calculation is stable, with no large cancellations.

The SM expectation for the branching ratios is [12]

$$\begin{aligned} \mathcal{B}_{\text{SM}}(K_L \rightarrow \pi^0 e^+ e^-) &= 3.54_{-0.85}^{+0.98} (1.56_{-0.49}^{+0.62}) \times 10^{-11}, \\ \mathcal{B}_{\text{SM}}(K_L \rightarrow \pi^0 \mu^+ \mu^-) &= 1.41_{-0.26}^{+0.28} (0.95_{-0.21}^{+0.22}) \times 10^{-11}, \end{aligned}$$

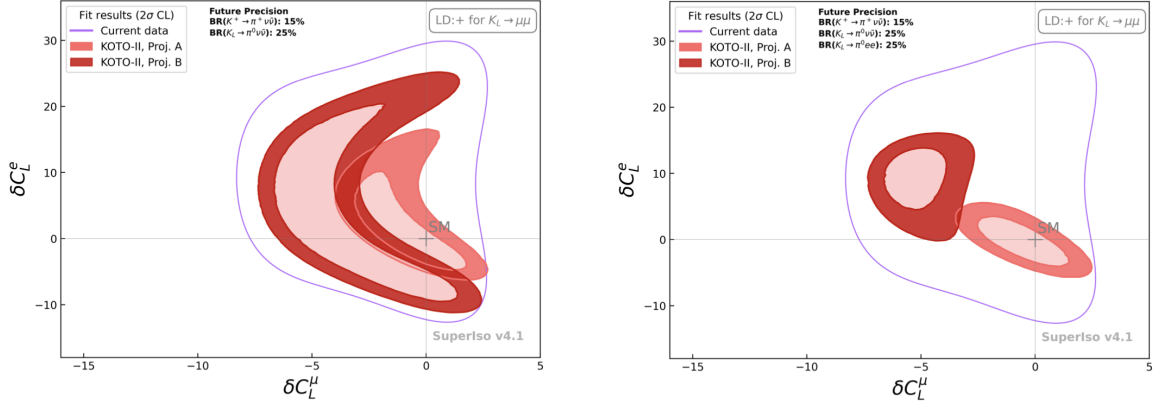


Figure 3: BSM parameter space for Wilson coefficients in scenarios with LFU violation where the NP effects for electrons are different from the those for muons and taus [3, 8]. Left: Impact on allowed parameter space from measurements of the golden channels $K \rightarrow \pi \nu \bar{\nu}$ from NA62 and KOTO II with the expected final precision. Right: Impact on the parameter space by the inclusion in the fits of a measurement of the $K_L \rightarrow \pi^0 e^+ e^-$ branching ratio with 25% precision.

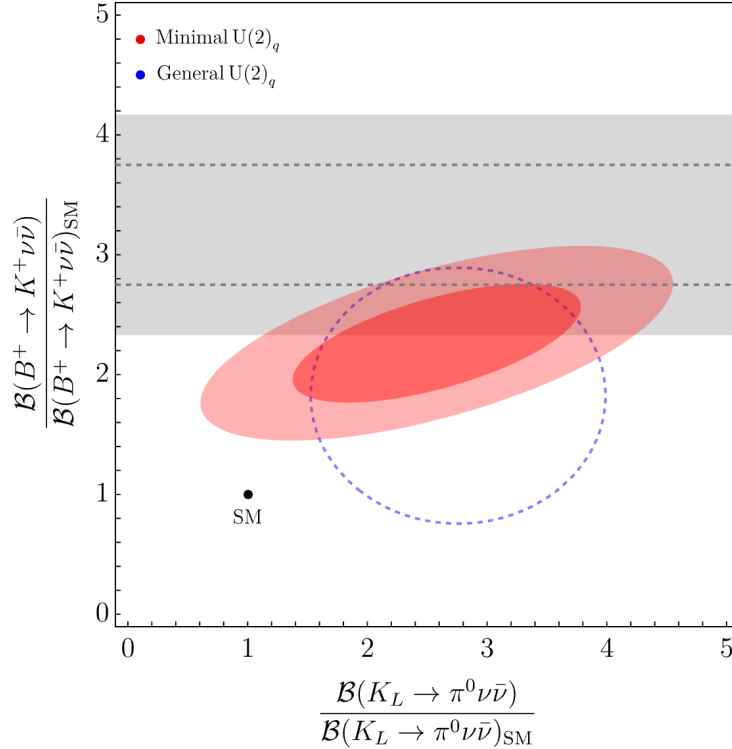


Figure 4: Correlation between $B^+ \rightarrow K^+ \nu \bar{\nu}$ and $K_L \rightarrow \pi^0 \nu \bar{\nu}$ decay rates (normalized to their SM expected values) in several NP scenarios [9]. The red areas denote the parameter regions favored at 1σ and 2σ from a global fit in the limit of minimal $U(2)_q$ breaking. Dashed and dotted blue curves are 1σ and 2σ regions from a global fit where breaking is a free parameter. The gray bands indicate the current experimental constraints.

where the two sets of values correspond to constructive (destructive) interference between the direct and indirect CP-violating contributions. Beyond the SM, the decay rates can be enhanced significantly in the presence of large new CP-violating phases, in a manner correlated with the effects in $K_L \rightarrow \pi^0 \nu \bar{\nu}$ and ε'/ε [16]. These decays also provide unique access to short-distance BSM effects in the photon coupling via the tau loop [17].

Numerically in the SM framework, in the assumption of constructive interference (which is preferred theoretically), using $\text{Im } \lambda_t = 1.4 \times 10^{-4}$ and $|a_S| = 1.2$, the sensitivities to the input parameters are

$$\frac{\delta \mathcal{B}(K_L \rightarrow \pi^0 e^+ e^-)}{\mathcal{B}(K_L \rightarrow \pi^0 e^+ e^-)} = 0.53 \cdot \frac{\delta \text{Im } \lambda_t}{\text{Im } \lambda_t}, \quad \frac{\delta \mathcal{B}(K_L \rightarrow \pi^0 \mu^+ \mu^-)}{\mathcal{B}(K_L \rightarrow \pi^0 \mu^+ \mu^-)} = 0.44 \cdot \frac{\delta \text{Im } \lambda_t}{\text{Im } \lambda_t}$$

and

$$\frac{\delta \mathcal{B}(K_L \rightarrow \pi^0 e^+ e^-)}{\mathcal{B}(K_L \rightarrow \pi^0 e^+ e^-)} = 1.48 \cdot \frac{\delta |a_S|}{|a_S|}, \quad \frac{\delta \mathcal{B}(K_L \rightarrow \pi^0 \mu^+ \mu^-)}{\mathcal{B}(K_L \rightarrow \pi^0 \mu^+ \mu^-)} = 0.88 \cdot \frac{\delta |a_S|}{|a_S|}.$$

Experimentally, the most stringent upper limits (at 90% CL) on the branching ratios have been obtained by the KTeV experiment [18, 19]:

$$\mathcal{B}(K_L \rightarrow \pi^0 e^+ e^-) < 28 \times 10^{-11}, \quad \mathcal{B}(K_L \rightarrow \pi^0 \mu^+ \mu^-) < 38 \times 10^{-11}.$$

1.3 Other decays

Given that the setup and detector will be optimized for the most challenging decay channels, KOTO II will have the potential to also address searches for other rare decays and new particles, as outlined in this section.

1.3.1 Rare kaon decays

- $K_L \rightarrow \pi^0 \gamma \gamma$: This decay is particularly important for determining the amplitude of CP-conserving components in the $K_L \rightarrow \pi^0 e^+ e^-$ process, where both CP-violating and CP-conserving contributions compete within the decay amplitude. Previously, this decay was measured by Fermilab E832 and CERN NA48; their results differ by three standard deviations. Providing an improved $\mathcal{B}(K_L \rightarrow \pi^0 \gamma \gamma)$ measurement would require observing at least $\mathcal{O}(10^4)$ events, which is beyond the current KOTO capabilities but feasible with KOTO II in a few years of data-taking.
- $K_L \rightarrow \pi^0 \pi^0 \nu \bar{\nu}$: This decay process with predominately clean short-distance contributions has a predicted branching ratio that is proportional to the square of the CKM matrix element ρ , making it significant for testing the Standard Model. However, the expected value of $\mathcal{B}(K_L \rightarrow \pi^0 \pi^0 \nu \bar{\nu})$ is only $(1.4 \pm 0.4) \times 10^{-13}$. This decay has previously been studied using the E391a data set, which yielded an upper limit of 8.1×10^{-7} , with the precision of the result limited by the available statistics. With the expected increase in K_L yields, KOTO II is anticipated to tighten the existing upper limit or even observe this rare decay.

1.3.2 Searches for new particles

- **Dark Photons:** The dark photon, $\bar{\gamma}$, is a hypothetical gauge boson associated with a new abelian gauge symmetry, $U(1)_D$, under which states in the dark sector are charged. Depending on whether the $U(1)_D$ symmetry is exact or broken, the

dark photon can be either massive or massless. The KOTO II detector, with its hermetic veto system, is highly effective for probing for dark photons, particularly in cases where dark photons are invisible in their subsequent decays. These potential studies include $K_L \rightarrow \pi^0 \tilde{\gamma}$, $K_L \rightarrow \pi^0 \gamma \tilde{\gamma}$, and $K_L \rightarrow \pi^+ \pi^- \tilde{\gamma}$ [20].

- **Axion-Like Particles:** KOTO II is also sensitive to probe for the existence of axion-like particles (ALPs, a). Axions are theoretical particles originally proposed to resolve the strong CP problem in quantum chromodynamics, and ALPs are generalizations of axions that could be present in kaon decays via $s \rightarrow da$ transitions. Possible kaon decay channels involving ALP production include $K_L \rightarrow \pi^0 a$ and $K_L \rightarrow \pi^0 \pi^0 a$, with subsequent $a \rightarrow \gamma\gamma$ or $a \rightarrow \ell^+ \ell^-$ decays. The KOTO II sensitivity for ALP decays $a \rightarrow \gamma\gamma$ has been studied, both for ALPs produced in the target (i.e., in beam-dump mode) and from kaon decays, demonstrating that KOTO II can probe new regions in the parameter space for ALP models, particularly for ALPs from kaon decays [21]. The high-precision charged particle tracker in KOTO II will enable detailed studies of channels with $a \rightarrow \ell^+ \ell^-$ decays as well.

2 History of the $K_L \rightarrow \pi^0 \nu \bar{\nu}$ search

Figure 5 shows the evolution of the experimental search for the $K_L \rightarrow \pi^0 \nu \bar{\nu}$ decay. Starting from the re-analysis of the early $K_L \rightarrow 2\pi^0$ experiment [22], initial searches were

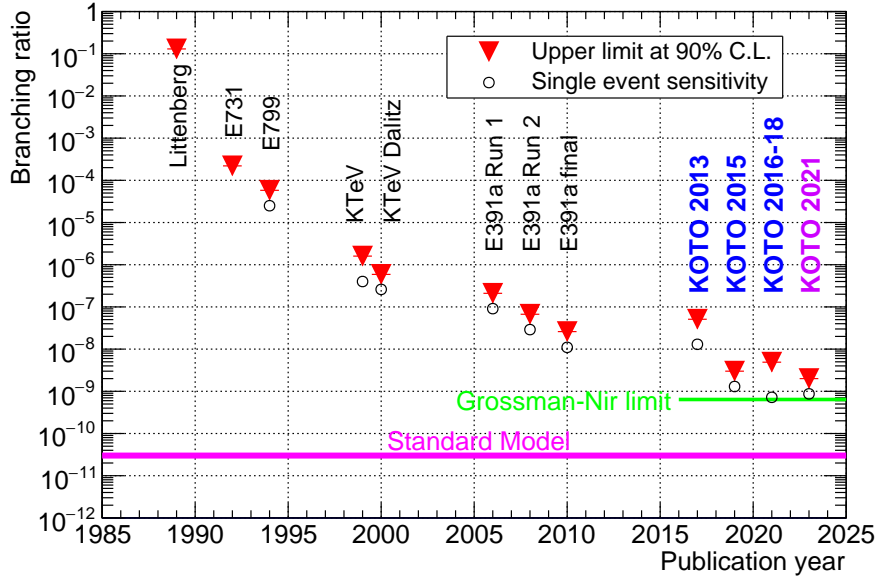


Figure 5: Evolution of the $K_L \rightarrow \pi^0 \nu \bar{\nu}$ search. Data points used in the figure are quoted from [22, 23, 24, 25, 26, 27, 28, 29, 30, 31, 32, 33]. The Grossman-Nir limit in the figure is calculated from the measured $K^+ \rightarrow \pi^+ \nu \bar{\nu}$ branching ratio by the CERN NA62 experiment, published in 2021 [34].

conducted at FNAL [23, 24, 25, 26], KEK [27, 28, 29], and J-PARC [30, 31, 32, 33]. The E391a experiment at KEK was the first experiment dedicated to the $K_L \rightarrow \pi^0 \nu \bar{\nu}$ search, and the KOTO experiment at J-PARC is its successor. Despite the technical difficulty of searching for an ultra rare decay that has only neutral particles in its initial and final

states and has missing particles, the experimental sensitivity now reaches the level of $\sim 10^{-9}$ on the branching ratio.

The KOTO experiment will continue taking data for several more years, aiming to search for possible enhancements of the $K_L \rightarrow \pi^0 \nu \bar{\nu}$ branching ratio from new physics effects. However, considering the realistically available beam power and beam time, the sensitivity reachable will be better than 10^{-10} but worse than the branching ratio predicted by the SM, 3×10^{-11} . The expected number of background events will be $O(1)$, so the potential for signal observation will still be limited. It is therefore desirable to consider a next-generation experiment that is sensitive enough to observe a number of $K_L \rightarrow \pi^0 \nu \bar{\nu}$ events that is sufficient to claim the discovery of the decay and to measure its branching ratio.

An idea for such an experiment, then called KOTO step-2, was described in the original KOTO proposal in 2006 [35]. Since then, we have gained a wealth of experience in the KOTO experiment and are ready to consider and design the next-generation experiment more realistically. We are eagerly considering the realization of KOTO II, aiming to run in the 2030s.

3 Strategy

To achieve high experimental sensitivity for the $K_L \rightarrow \pi^0 \nu \bar{\nu}$ measurement, the K_L flux, the detection acceptance for the signal, and the signal-to-background ratio must be maximized. The K_L flux is determined by the production angle and the solid angle of the secondary neutral beam. Other important parameters are the achievable intensity of the primary proton beam and the target properties (material, thickness, etc.). The production angle is defined as the angle between the directions of the primary proton beam and secondary neutral beam. Figure 6 shows the K_L and neutron yields and the neutron-to- K_L flux ratio as functions of the production angle when a 102-mm-long gold target is used. For KOTO II, a production angle of 5 degrees is chosen as an optimum balance

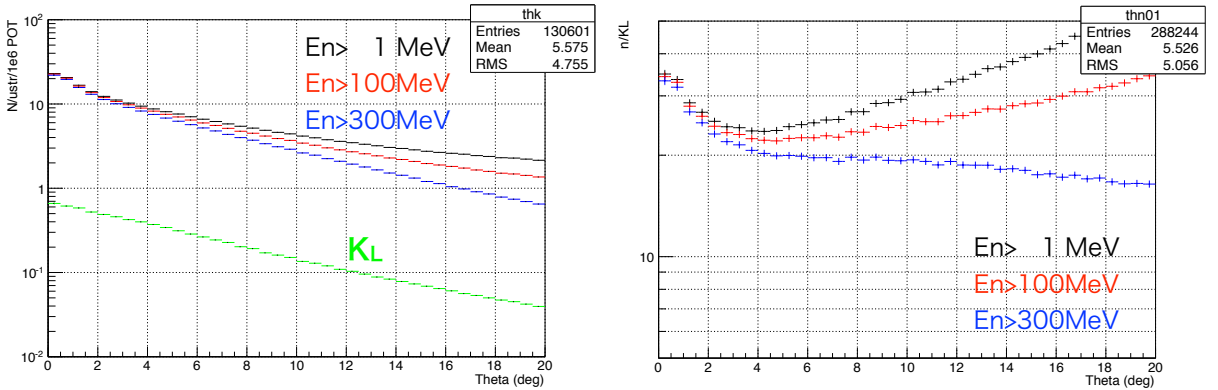


Figure 6: Simulated K_L and neutron yields (left) and their ratio (right) as functions of the production angle [36]. The yields were evaluated at 1 m downstream of the target, normalized by the solid angle (μstr). Black, red, and blue points indicate the results when selecting neutrons with their energies of more than 1, 100, and 300 MeV, respectively.

between K_L flux and smaller neutron fraction in the beam. In case of the KOTO experiment, the production angle is 16-degrees, as shown in Fig. 7, which was chosen to utilize the T1 target, with the experimental area away from the primary beam. In order

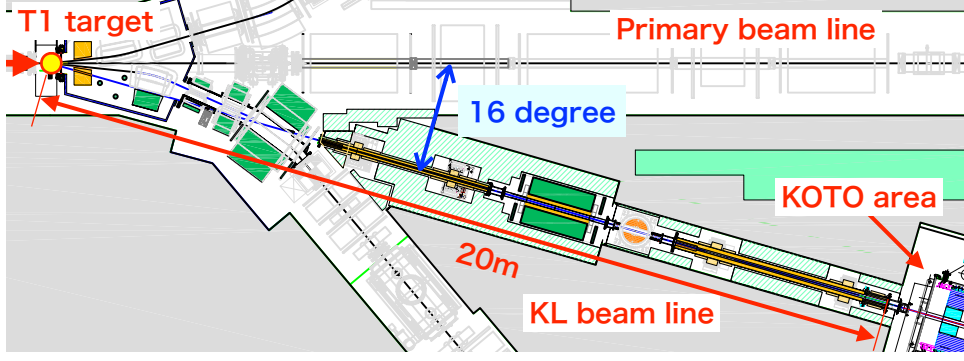


Figure 7: Schematic drawing of the K_L beam line for the KOTO experiment in the current Hadron Experimental Facility.

to realize the 5-degree production while keeping the solid angle of the neutral beam as large as possible, *i.e.*, with the shortest beam line, a new experimental area behind the primary beam dump and a new target station close to the dump are necessary. Figure 8 shows a possible configuration utilizing a new target (T2).

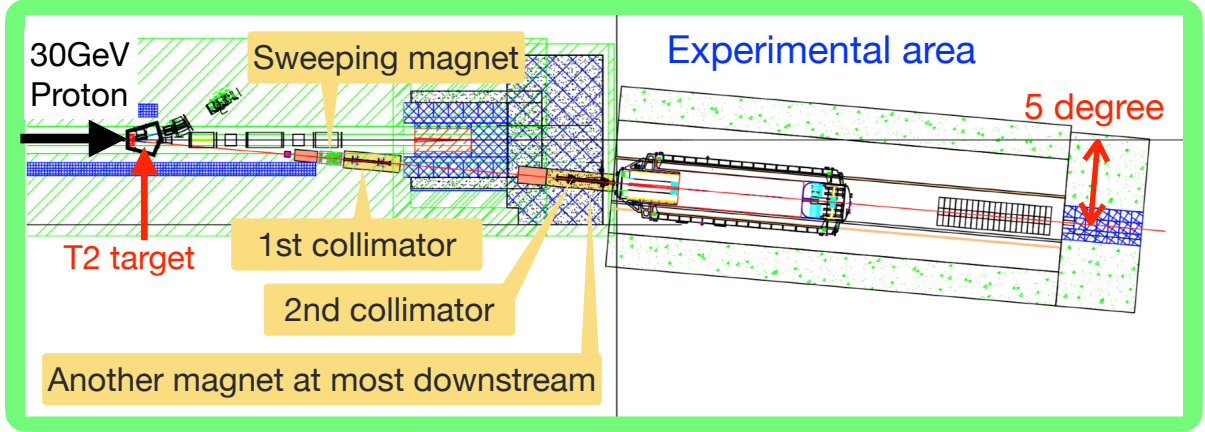


Figure 8: Schematic drawing of the KOTO II beam line in the Extended Hadron Experimental Facility. The experimental area is located behind the beam dump. Distance between the T2 target and the experimental area is assumed to be 43 m in the present studies. A modeled cylindrical detector with 20 m in length and 3 m in diameter is described in the experimental area as a reference.

Here, we introduce the baseline design for the KOTO II detector. The acceptance of the signal is primarily determined by the detector geometry. The basic detector configuration is the same as for the current KOTO experiment: a cylindrical detector system with an electromagnetic calorimeter to detect two photons from the π^0 decay at the downstream endcap. A longer K_L decay region and a larger diameter of the calorimeter are being considered to obtain a larger acceptance. The 5-degree production also provides a benefit in terms of the signal acceptance: the K_L momentum spectrum is harder than it is in KOTO, so the two photons from π^0 decays are boosted more in the forward direction, allowing the acceptance gain from the longer decay region to be utilized.

The ability to claim discovery of the signal decay and the precision of the branching ratio measurement depend on the signal-to-background ratio, as well as on the expected number of observed events. The background level is affected by many factors such as the

beam size at the detector, the flux of beam particles (neutrons, K_L) leaking outside the beam (beam-halo), the fraction of charged kaons in the neutral beam, and the detector performances.

In the following two sections, Sections 4 and 5, a beam line model and a conceptual design of the detector are described. Discussions of the sensitivity and background follow in Section 6, with parameterized detector performance.

4 Beam Line

4.1 Performance of the beam line

Table 1 summarizes the beam parameters for KOTO II and those in the current KOTO experiment.

Table 1: Beam parameters for KOTO II and the current KOTO experiment.

	KOTO II*	KOTO
Beam power	100 kW	80 kW (100 kW in future)
Target	102-mm-long gold	60-mm-long gold
Production angle	5°	16°
Beam line length	43 m	20 m
Solid angle	4.8 μsr	7.8 μsr

* Note the parameters for KOTO II are tentative for this study.

To evaluate the performance of the beam line for KOTO II (KL2 beam line), target and beam line simulations were conducted. The target in the study was chosen to be a simple cylindrical rod made of gold with a diameter of 10 mm and length of 102 mm, which corresponds to $1\lambda_I$ (interaction length). The 30 GeV primary protons were incident on the target with a beam spot size (σ) of 1.6 mm in both the horizontal and vertical directions. No beam divergence was considered in the simulation. Secondary particles emitted at an angle of 5 degrees (within ± 0.3 degree) to the primary beam direction were recorded at 1 m downstream from the target to be used as input to the beam line simulation. For the simulation of the particle production at the target, we used the GEANT3-based simulation as a default, and GEANT4 (10.5.1 with a physics list of QGSP_BERT or FTFP_BERT) and FLUKA (2020.0.3) for comparison, as shown in Fig. 9 (left). The resultant K_L fluxes were found to agree with each other to within 30%.

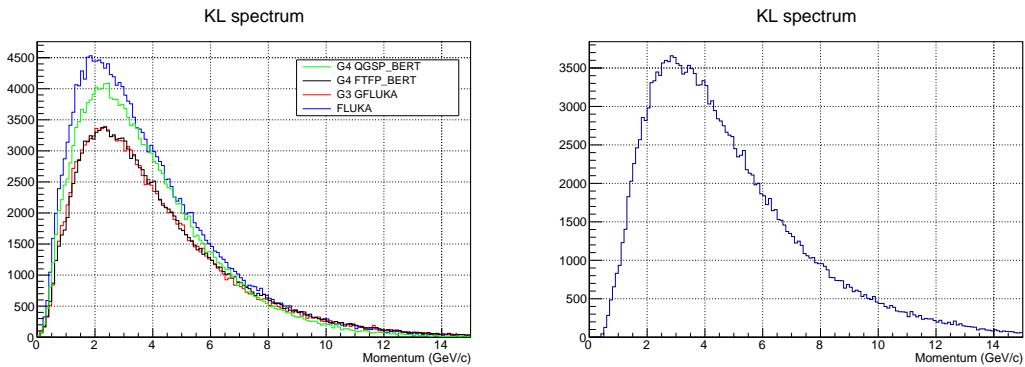


Figure 9: K_L spectra at 1 m from the T2 target from the target simulation (left) and at the exit of the KL2 beam line at 43 m from the T2 target from the beam line simulation (right). In the left plot, the results obtained with various simulation packages are also shown, as well as the result by the GEANT3-based simulation (labeled “G3 GFLUKA”) which is our default in this study.

GEANT3 provided the smallest K_L yield and thus is considered to be a conservative choice in the discussion of the sensitivity.

In designing the KL2 beam line, we first follow the design strategy of the KOTO beam line (KL beam line) [37]. The KL2 beam line consists of two stages of 5-m-long collimators, a photon absorber in the beam, and a sweeping magnet to sweep out charged particles from the target. The photon absorber, made of 7-cm-thick lead, is located at 4 m downstream of the target. The first collimator, starting from 20 m from the target, defines the solid angle and shape of the neutral beam. The solid angle is set to be $4.8 \mu\text{str}$. The second collimator, starting from 38 m from the target, eliminates the particles coming from the interactions at the photon absorber and the beam-defining edge of the first collimator. The bore shape of the second collimator is designed not to be seen from the target so that particles coming directly from the target do not hit the inner surface and thus do not generate particles leaking outside the beam. The first sweeping magnet is located upstream of the first collimator in this study. Although an additional sweeping magnet is needed at the end of the beam line in order to sweep out charged kaons which are produced by interactions of neutral particles with the collimators, its effect was evaluated independently from the baseline beam line design, as discussed later.

Figures 9 (right) and 10 show the simulated spectra of K_L , neutrons, and photons at the exit of the KL2 beam line, respectively. The K_L yield was evaluated to be 1.1×10^7 per

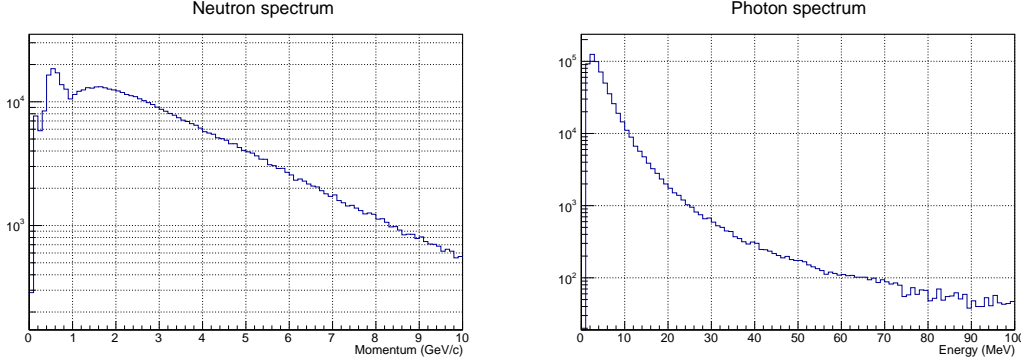


Figure 10: Simulated neutron (left) and photon (right) spectra at the exit of the beam line.

2×10^{13} protons on the target (POT). Note that the beam power of 100 kW corresponds to 2×10^{13} POT per second with 30 GeV protons. The resultant K_L flux per POT is 2.6 times higher than that of the current KOTO experiment. The K_L spectrum peaks at 3 GeV/c, while it is 1.4 GeV/c in the current KOTO experiment. The simulated particle fluxes are summarized in Table 2.

Figure 11 shows the neutron profile at the assumed calorimeter location, 64 m from the T2 target. As shown in the figure, the neutral beam is shaped so as to be a square at the calorimeter location. The evaluation of neutron fluxes spreading into the beam halo region, called “halo neutrons”, is important, since these are potential sources of backgrounds due to their interactions with the detector materials. Here, we define the core and halo neutrons as those inside and outside the ± 10 cm region at the calorimeter, respectively. The ratio of the halo neutron yield to the core yield was found to be 1.8×10^{-4} .

4.1.1 Charged kaons in the neutral beam

Contamination from charged kaons in the neutral beam line is harmful, since K^\pm decays such as $K^\pm \rightarrow \pi^0 e^\pm \nu$ in the detector region can mimic the signal, as pointed out in

Table 2: Expected particle yields estimated by the simulations.

Particle	Energy range	Yield (per 2×10^{13} POT)	On-spill rate (MHz)
K_L		1.1×10^7	24
Photon	>10 MeV	5.3×10^7	110
	>100 MeV	1.2×10^7	24
Neutron	>0.1 GeV	3.1×10^8	660
	>1 GeV	2.1×10^8	450

The beam power of 100 kW corresponds to 2×10^{13} POT/s with 30 GeV protons. The on-spill rate means the instantaneous rate during the beam spill, assuming a 2-second beam spill every 4.2 seconds.

the analysis of data from the KOTO experiment [32]. The major production point of charged kaons is the second collimator. Neutral particles (K_L s and neutrons) hit the inner surface of the collimator, interacting to produce charged kaons that can reach the end of the beam line. Charged pions from K_L decays hitting the collimator can also produce charged kaons. According to the beam line simulation, the ratio of the charged kaon to K_L fluxes entering the decay region is $R(K^\pm/K_L) = 4.1 \times 10^{-6}$. To evaluate the reduction by an additional sweeping magnet, we conducted another beam line simulation with a sweeping magnet that provides a magnetic field of 2 Tesla in 1.5 m long at the end of the beam line. We confirmed that this can reduce the ratio to $R < 1.1 \times 10^{-6}$, which is limited by the simulation statistics.

4.1.2 Discussion on the target length

In the target simulation, the gold target was assumed to be 102 mm long, while the T1 target used in the current Hadron Experimental Facility is 60 mm long. Although the use of a thicker target may pose some technical difficulties, there would be substantial advantages. Figure 12 indicates the relative K_L yield as a function of the target length. As can be seen, a 102-mm-long gold target provided 40% more K_L yield than a 60-mm-long gold target. In addition, the flux of photons emerging from a thicker target is reduced and the energy spectrum is softened. Thus, if a thinner target is used, the rates on the in-beam vetoes will be higher, and the thickness of the photon absorber may have to be increased in compensation.

4.1.3 Optimization of the photon absorber

In order to keep the flux of photons in the neutral beam to levels that, even if challenging, can be realistically handled by the in-beam veto detectors, the beam-line has a 7-cm-thick photon absorber at $z = 4$ m. Unfortunately, elastic and inelastic interactions of K_L s in the photon absorber reduce the kaon flux to 60%, according to the beam-line simulation. This loss of K_L flux can be partially mitigated by the use of an aligned, high- Z , crystal-metal photon absorber. When an electromagnetic particle is incident on a crystal at a direction parallel to a crystal axis, the coherent superposition of the electric fields from the atoms in the lattice increases the probability of bremsstrahlung emission and pair production [38, 39, 40]. The effects of coherent interactions increase with photon energy

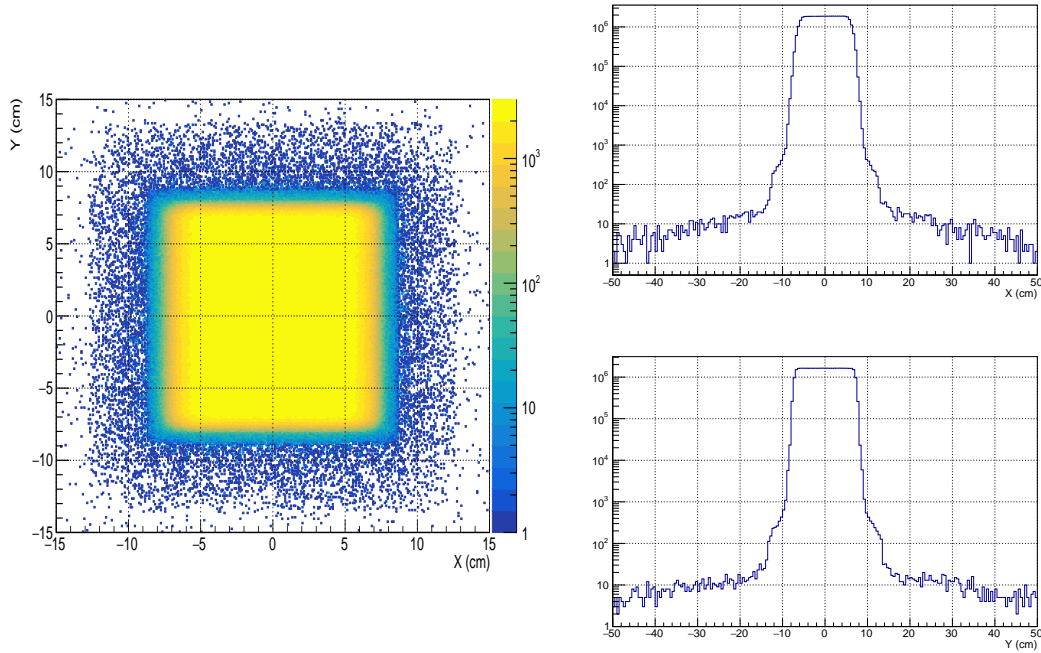


Figure 11: Beam shape at the downstream endcap plane, represented by the neutron profile. The left figure shows the distribution within ± 15 cm of the beam center, and the right top (bottom) histogram indicates the horizontal (vertical) distribution within ± 50 cm of the beam center.

and for decreasing angle of incidence. For a tungsten crystal, the strong-field regime, in which coherent effects become particularly important, is reached for incident photons of energy of about 15 GeV; a good fraction of the high-energy photons incident on the absorber in the KOTO II beam would be above this threshold.

A series of exploratory tests was performed by the KLEVER and AXIAL collaborations at the CERN SPS in 2018 with a set of tungsten crystals and a tagged photon beam. In particular, when the $\langle 111 \rangle$ axis of a commercial-quality tungsten crystal of 10-mm thickness was aligned with the beam, the effective radiation length for the conversion of the primary photon was seen to increase with incident photon energy over the range 30–100 GeV, ultimately reaching more than 3 times its nominal value at 100 GeV [41]. This effect was maintained over an angular acceptance of at least a few mrad, which is consistent with the strong-field alignment angle of 1.75 mrad for tungsten, especially given the mosaicity of the commercial sample.

The amount by which the KOTO II photon absorber could be reduced in thickness while maintaining the required for photon conversion is amenable to simulation; simulations of this effect were shown to reproduce the results of [41]. Notably, the $(\pm 1.1 \text{ mrad})^2$ opening angle of the KOTO II neutral beam is well within the angular acceptance for coherent interactions in a crystal such as tungsten. The use of an aligned crystal metal absorber requires only a static mechanical scheme to preserve the crystal alignment; a precise optical survey may be used, once the crystal axes have been measured, e.g., at a test beam. Alignment to within a few tenths of a mrad would be ideal but would be sufficient even to within 1 mrad. Since the alignment is technically straightforward and could lead to a significant increase in the available K_L flux, this option should be given further consideration.

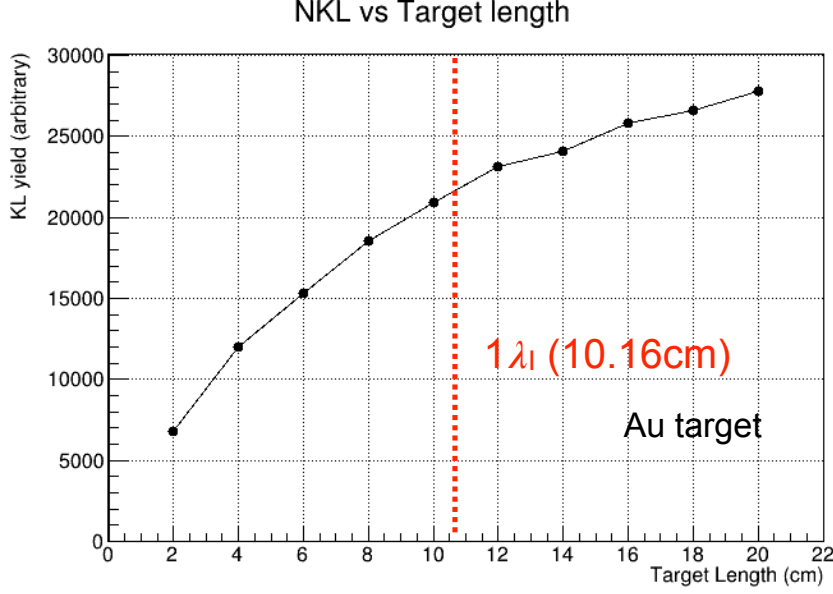


Figure 12: K_L yield as a function of the target length. The yield is evaluated at 1 m from the T2 target. A FLUKA-based simulation is used for this study.

4.2 Activity in the experimental area behind the beam dump

To realize the 5-degree production angle, the experimental area must be located behind the primary beam dump. There is a concern that many particles from interactions in the beam dump can penetrate the shield and reach the experimental area, which causes a high rate of accidental hits in the detector. To evaluate the flux in the experimental area, we performed a muon flux measurement behind the beam dump at the current facility [42]. Since the beam height is below ground level, we dug a hole for observation and measured a vertical distribution of the muon flux with a compact detector developed for this purpose.

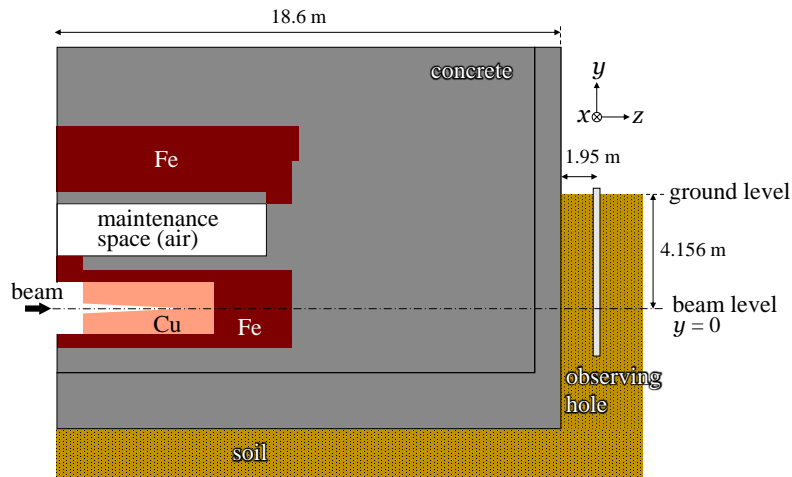


Figure 13: Illustration of the side cross-sectional view of the current beam dump of the J-PARC Hadron Experimental Facility and the location of the observing hole.

The observing hole was located at 1.95 m downstream of the end wall of the beam

dump as shown in Fig 13. The detector consisted of 12 plastic scintillator strips with a trapezoidal cross section, which were placed in a cylindrical shape. Each strip was 80 mm long, 14.7 mm wide on the short side, and 5 mm thick. The muon flux was determined by coincidence measurement of two facing strips. We measured the muon-coincidence rate by changing the vertical position of the detector at 0.5 m intervals in the observing hole. The beam power of the primary proton beam was 30 kW. A detailed description of the experimental setup and conditions is found in Ref.[42].

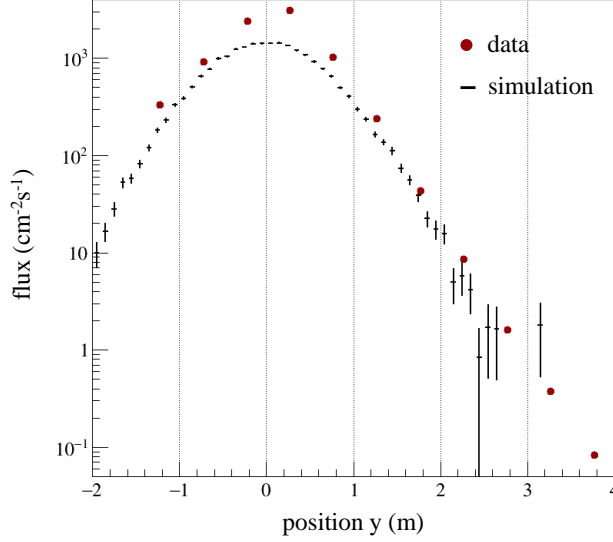


Figure 14: Vertical position dependence of the muon flux at the observing hole. Black circles denote the measured muon fluxes with the 30 kW proton beam intensity, and red crosses show the muon flux calculated with the beam-dump simulation.

Figure. 14 shows the vertical position dependence of the muon flux at the observing hole. The muon flux was highest near the beam level and decreased rapidly with distance from the beam axis. Compared to data, the GEANT4 simulation underestimates the muon flux by a factor of 2 near the beam level. In contrast, the simulation result agrees with the data to within 30% at positions higher than $y = +1$ m. For the purposes of muon-flux estimation for the KOTO II experiment, which will be placed at the horizontally off-axis, we can conclude that the beam dump simulation can be used with reasonable precision.

Figure 15 shows an event display that depicts 100 simulated muon events generated from the location of the observing hole. It can be seen that some muons also hit the KOTO II barrel detector, which is placed 5 degrees from the production target. Assuming a 100 kW proton beam extracted with a 4.2 s spill cycle and with loss of 50% at the target before entering the beam dump, the on-spill muon counting rate on the barrel detector was estimated to be 1.8 MHz. These accidental hits result in a signal loss of 7.0%, assuming a timing window of 40 ns for the barrel detector.

To reduce the muon flux further, part of the concrete shield must be replaced with steel. Replacing the concrete with steel in a 6.7 m (horizontal) \times 3 m (vertical) \times 3 m (beam direction) volume reduces the flux by an order of magnitude, to 0.39 MHz, reducing

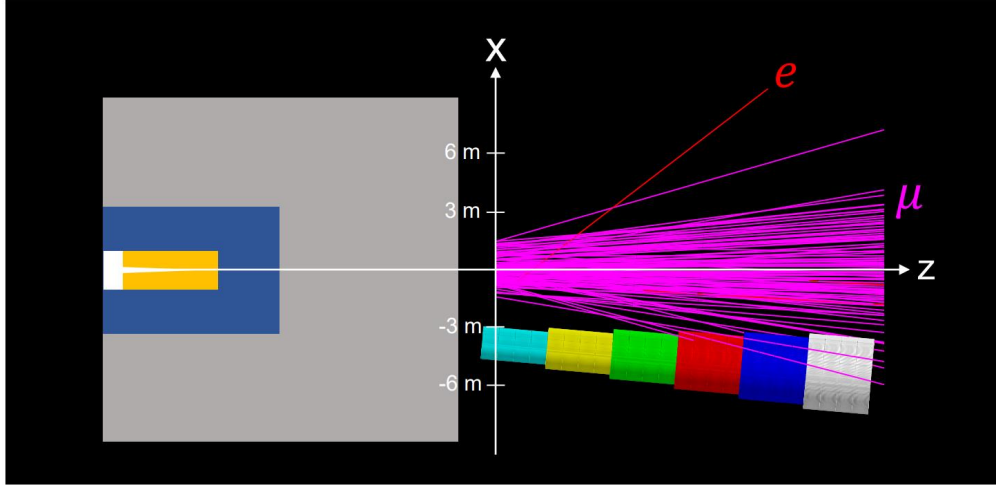


Figure 15: Event display when 100 muons are generated from the location of the observing hole. The position of the observing hole is taken as the origin and the figure is shown as seen from the y-axis direction. Some of the muons hit the KOTO II barrel detector.

the signal loss due to accidental muon hits to 1.6%.

5 Detector

5.1 Concept of detector

The signature of the $K_L \rightarrow \pi^0 \nu \bar{\nu}$ decay is two photons from the π^0 decay without any other detectable particles. In addition, a large transverse momentum (p_T) of the π^0 is expected due to the kinematics of the decay.

The detector concept is the same as in the KOTO experiment. The KOTO detector is shown in Fig. 16. The calorimeter ("CSI" in the figure) is used to detect two photons. The evacuated decay region upstream of the calorimeter is surrounded by the hermetic veto-detector system to ensure that no other detectable particles are present. The decay vertex of the π^0 is reconstructed on the beam axis by assuming the nominal π^0 mass for the invariant mass of the two photons. With the reconstructed decay vertex, the p_T of the π^0 can be calculated. The diameter of the calorimeter is 2 m and the decay region is 3 m in the KOTO experiment. We have been using the signal region within 2 m in the decay region from 3 m to 5 m in z for the $K_L \rightarrow \pi^0 \nu \bar{\nu}$ search.

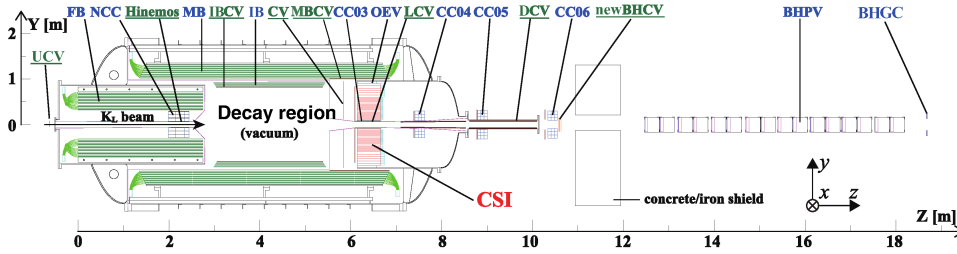


Figure 16: The KOTO detector. The beam enters from the left. Detector components with their abbreviated names written in blue regular (in green regular and underlined) are photon (charged-particle) veto counters.

Backgrounds can be classified into three categories: K_L decays, K^\pm decays, and halo-neutron backgrounds.

- K_L decays

The K_L decays with branching fractions larger than 10^{-4} are listed in Table 3. $K_L \rightarrow \pi^\pm e^\mp \nu$ (Ke3), $K_L \rightarrow \pi^\pm \mu^\mp \nu$ (K μ 3), $K_L \rightarrow \pi^+ \pi^-$, and $K_L \rightarrow 2\gamma$ have only two observable particles in the final state. The Ke3, K μ 3, and $K_L \rightarrow \pi^+ \pi^-$ decays can be reduced by identifying the charged particles. The $K_L \rightarrow 2\gamma$ decay can be reduced by requiring large p_T for the reconstructed π^0 , although a fake π^0 can be reconstructed from the two clusters in the calorimeter. A K_L which spreads out into the beam-halo region is called a "halo K_L ". When such a halo K_L decays into two photons, its reconstructed p_T can be larger because the K_L itself has p_T and because the vertex is reconstructed on the beam axis assuming the π^0 mass. This halo $K_L \rightarrow 2\gamma$ background can be reduced with incident-angle information on the photons at the calorimeter. The other K_L decays have more than two particles in the final state, and extra particles which are not used to reconstruct a π^0 can be used to veto the events.

- K^\pm decays

K^\pm s are generated from the interaction of K_L s, neutrons, or π^\pm s in the collimator in the beam line. The second sweeping magnet near the entrance of the detector

will reduce the contribution. Some K^\pm can pass through the second magnet and decays as $K^\pm \rightarrow \pi^0 e^\pm \nu$ in the detector. This becomes a background if the e^\pm is undetected. The kinematics of the π^0 is similar to $K_L \rightarrow \pi^0 \nu \bar{\nu}$, and thus this decay is one of the more serious backgrounds. Detection of e^\pm s is one of the keys to reduce the background.

- Halo-neutron background

Neutrons in the beam halo (halo neutrons) can interact with the detector material and produce π^0 s or η s, which can decay into two photons with large branching fractions (98.8% for π^0 , 39.4% for η). Minimizing material near the beam is essential to reduce these backgrounds. The fully active detector will reduce the background by efficiently detecting other particles generated in π^0 or η production. This background can be further suppressed if the calorimeter can provide information on the photon angle of incidence. Another type of halo-neutron background is “hadron cluster background”: A halo neutron hits the calorimeter to produce a first hadronic shower, and another neutron in the shower travels inside the calorimeter, producing a second hadronic shower at some distance from the first one. These two hadronic clusters can also give a vertex inside the signal region with the π^0 mass hypothesis applied, mimicking the signal.

Table 3: Properties of K_L decays into the signal channel and background channels with the branching fraction larger than 10^{-4} .

Decay mode	branching fraction	π^0 maximum p_T	key to reduce background
$\pi^0 \nu \bar{\nu}$	3×10^{-11} (in SM)	230 MeV/ c	
$\pi^\pm e^\mp \nu$	40.6%		charged particle ID
$\pi^\pm \mu^\mp \nu$	27.0%		charged particle ID
$3\pi^0$	19.5%	139 MeV/ c	extra-photon veto
$\pi^+ \pi^- \pi^0$	12.5%	133 MeV/ c	charged-particle veto
$\pi^+ \pi^-$	1.97×10^{-3}		charged particle ID
$2\pi^0$	8.64×10^{-4}	209 MeV/ c	extra-photon veto
$\pi^\pm e^\mp \nu \gamma$	3.79×10^{-3}		extra-particle veto
$\pi^\pm \mu^\mp \nu \gamma$	5.65×10^{-4}		extra-particle veto
2γ	5.47×10^{-4}		p_T of reconstructed π^0

5.2 Conceptual detector for the base design

A conceptual detector used in the baseline design of KOTO II is shown in Fig. 17. We define the z axis on the beam axis pointing downstream with the origin at the upstream surface of the Front Barrel Counter, which is 44 m from the T2 target (43-m long beam line and 1-m long space). We use the following conceptual detector: The diameter of the calorimeter is 3 m to gain the signal acceptance; the beam hole in the calorimeter is 20 cm \times 20 cm to accept the 15 cm \times 15 cm beam size; the z position of the calorimeter is 20 m with a larger decay volume to enhance the K_L decay. The larger decay volume is effective in KOTO II, because the boost from the higher K_L momentum collimates the final state photons for signal events, keeping them within the acceptance.

The Charged Veto Counter is a charged-particle veto counter positioned 30 cm upstream of the calorimeter to veto $K_L \rightarrow \pi^\pm e^\mp \nu$, $K_L \rightarrow \pi^\pm \mu^\mp \nu$, or $K_L \rightarrow \pi^+ \pi^- \pi^0$. The

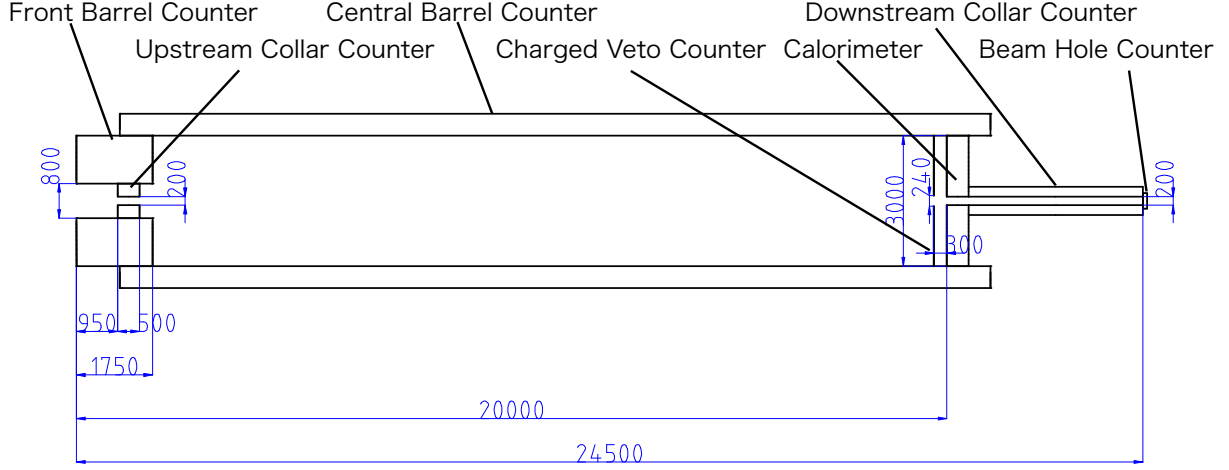


Figure 17: Conceptual KOTO II detector. The upstream edge of the Front Barrel Counter is 44 m from the T2 target.

beam hole at the Charged Veto Counter is $24 \text{ cm} \times 24 \text{ cm}$ to avoid the production of π^0 s or η s from neutron interactions. The Barrel Counter is 20-m long and is mainly used to veto $K_L \rightarrow 2\pi^0$ decays by detecting the additional photons. the Upstream Collar Counter is 0.5-m long to veto $K_L \rightarrow 3\pi^0$ decays upstream of and inside this counter. The Downstream Collar Counter is 4-m long and vetoes particles passing through the beam hole in the calorimeter but at angles causing them to exit the beam region. The Beam Hole Counter covers the in-beam region starting from $z = 24.5 \text{ m}$ to veto particles escaping through the calorimeter beam hole. The Barrel Counter, the Upstream Collar Counter, the Central Barrel Counter, and the Downstream Collar Counter, act as both photon and charged-particle vetoes for the conceptual design. For the Beam Hole Counter, we introduce two separate counters, a beam-hole charged-veto counter and a beam-hole photon-veto counter. The fiducial signal region is defined as a 12-m region from 3 m to 15 m in z .

We use the conceptual detector in the following sections to evaluate the signal acceptance, the background contributions, and the hit rates.

5.3 Base design of detector

A base design of the detector is explained for modeling the detector performance and for the cost evaluation. A 3D cutaway view of the base design is shown in Fig. 18(a). Most of the counters are in the vacuum tank. The region of core beam and its halo should be evacuated to 10^{-5} Pa to reduce the interaction of beam particles to the residual gas, because a π^0 or η could be generated though the interaction and become a background source.

The Barrel Counter is a sandwich counter with 1-mm thick lead and 5-mm thick plastic-scintillator plates. The layers are stacked in the z direction. The counter has a modular structure in z and ϕ directions. The module z -length is 80 cm. The number of ϕ divisions is 20–32 depending on the z position. The module hit rate is reduced, and the z coordinate can be obtained with the z segmentation.

The Upstream Collar Counter consists of 50-cm long undoped CsI crystals ($27X_0$). The lateral segmentation and the fast response of undoped CsI help its operation in the high rate.

The Charged Veto Counter located 30-cm upstream of the calorimeter is a plastic scintillator in $7\text{ cm} \times 7\text{ cm}$ tiles for the base design.

The calorimeter shown in Fig. 18 (b) consists of $2.5\text{ cm} \times 2.5\text{ cm} \times 50\text{ cm}$ undoped CsI crystals (the central orange region) and $5\text{ cm} \times 5\text{ cm} \times 50\text{ cm}$ undoped CsI crystals (the outer black and blue region). The orange and black regions can be prepared with current existing crystals. New crystals are needed in the blue region. The calorimeter is surrounded by veto counters (red) with the size $20\text{ cm} \times 20\text{ cm} \times 50\text{ cm}$. The outer veto counter consists of lead and plastic-scintillator plates.

The Beam Hole Charged Veto counter consists of three layers of thin MWPC gas-wire chambers (Thin Gap Chambers) as in the KOTO experiment. The wire interval is 1.8 mm, and the wire-to-cathode gap is 1.4 mm. The cathode is a carbon-coated $50\text{ }\mu\text{m}$ -thick foil.

The Beam Hole Photon Veto counter consists of 25 modules of lead and aerogel Cherenkov counters as in KOTO.

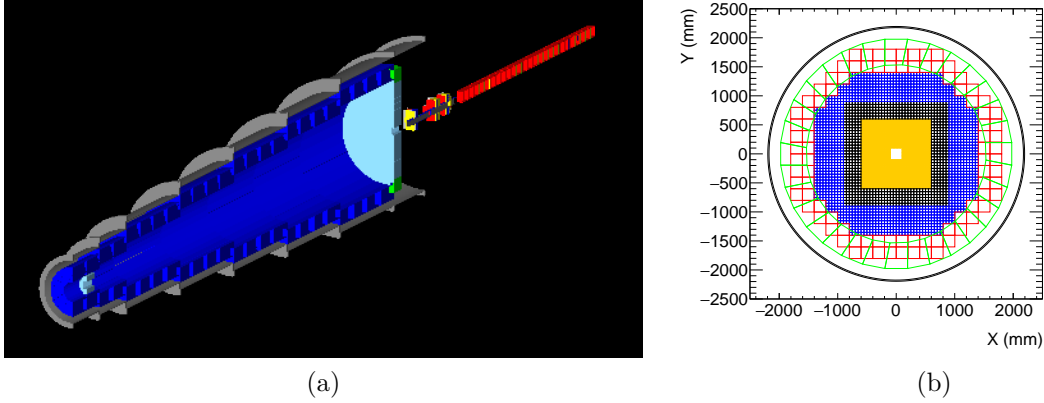


Figure 18: (a) 3D cutaway view of a model for the base detector design. (b) Plane view of the base design of the calorimeter. The calorimeter consists of $2.5\text{ cm} \times 2.5\text{ cm} \times 50\text{ cm}$ undoped CsI crystals (the central orange region) and $5\text{ cm} \times 5\text{ cm} \times 50\text{ cm}$ undoped CsI crystals (the outer black and blue regions). The calorimeter is surrounded by veto counters (red) with the size $20\text{ cm} \times 20\text{ cm} \times 50\text{ cm}$. The veto counter is a sandwich counter with 1-mm-thick lead and 5-mm-thick plastic-scintillator plates. The modules of the Barrel Counter just upstream of the calorimeter are projected into the plane with green lines. The black region can be prepared with current existing crystals. New crystals are needed in the blue region.

5.4 Modeling of detector response

The interaction of particles in the calorimeter can be modeled in terms of the **energy / position resolutions**, and **two-photon fusion probability** (the probability to identify two incident photons nearby as a single cluster). The interaction of particles with respect to the veto performance is modeled by **inefficiency** as a function of the particle type, the incident energy, and the incident angle. Time smearing for hits in the Central Barrel Counter is applied for some studies. Other energy or time smearing is not applied for the veto counters.

The photon-detection inefficiency of the barrel counter is estimated with a full-shower simulation with the counter in the base design. The inefficiency of the in-beam detector

(the Beam Hole Counter in the conceptual detector) is based on the performance of the current detector in KOTO. The other modelings are the same as in the proposal [35].

5.4.1 Energy / position resolutions of the calorimeter

The p_T and z_{vtx} resolutions of the reconstructed π^0 are affected by the energy and position resolutions of the calorimeter.

The energy resolution is modeled as follows:

$$\frac{\sigma_E}{E} = \left(1 \oplus \frac{2}{\sqrt{E(\text{GeV})}} \right) \%.$$

The position resolution is modeled as follows:

$$\sigma_x = \frac{5}{\sqrt{E(\text{GeV})}} (\text{mm}).$$

The comparisons between the model and actual measurements in the existing KOTO calorimeter are shown in Fig. 19 [43]. In the inner region of the KOTO calorimeter ($2.5 \times 2.5 \text{ cm}^2$ cells), the model is conservative, in that it gives energy and position resolution worse than those actually measured.¹

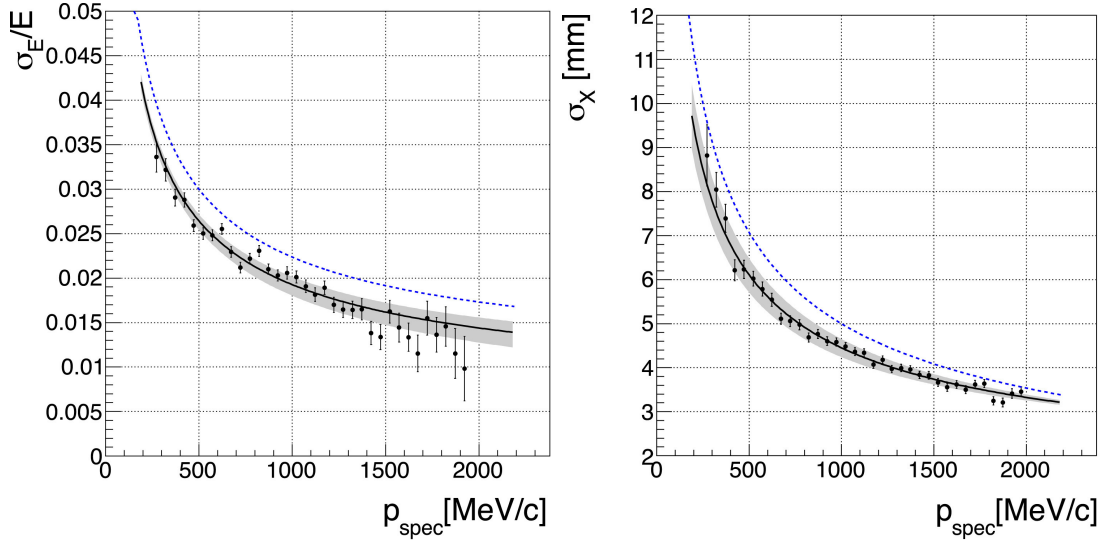


Figure 19: Energy (left) and position (right) resolutions for the central region of the calorimeter [43]. An electron sample was obtained in a special setup with a spectrometer and the calorimeter in the KOTO beam line. Resolutions are obtained as a function of electron momentum, and the electron momentum is treated as a photon energy. The points with error bars show the measured data with electrons, the solid line shows a fit with a function, and the filled area shows the combined statistical and systematic errors. The dashed line shows the model used in this study.

¹The modeled energy resolution is also more conservative than the actual measurements in the outer region. The modeled position resolution is better by at most 3.2 mm than the actual resolution in the outer region of the current calorimeter for the incident energy smaller than 2 GeV. 5-cm-square CsI crystals are used in the outer region instead of 2.5-cm-square ones in the inner region.

5.4.2 Two-photon fusion probability in the calorimeter

Fusion of photon clusters in the calorimeter contributes to the $K_L \rightarrow 2\pi^0$ background. Fusion is one of the mechanisms to miss a photon; missing two of the four photons from the decay causes the background.

The model of the fusion probability is shown in Fig. 20 as a function of the distance between the positions of two photons incident on the calorimeter. This model was prepared with a MC study using the existing KOTO calorimeter.

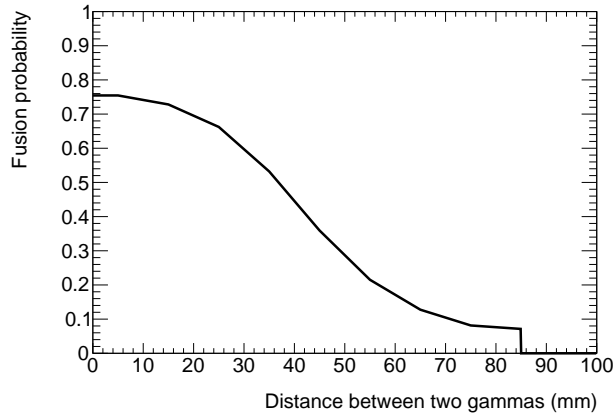


Figure 20: Model for fusion probability as a function of the distance between two photons on the calorimeter.

5.4.3 Inefficiency of the particle veto

Calorimeter photon inefficiency The photon inefficiency of the calorimeter contributes to the $K_L \rightarrow 2\pi^0$ background. The modeled inefficiency is shown in Fig. 21, which is the same as in the KOTO proposal and was obtained with a MC study.

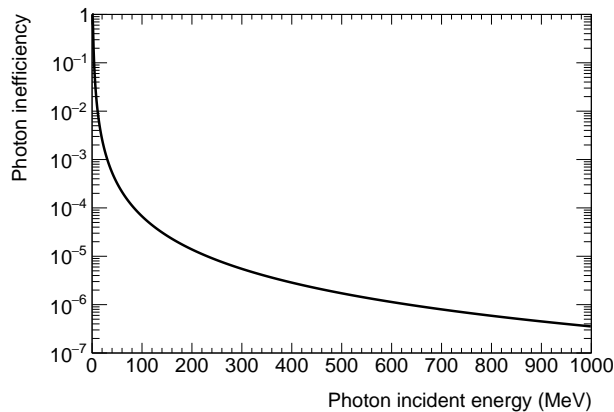


Figure 21: Model for photon inefficiency for the calorimeter.

Barrel photon inefficiency The photon inefficiency of the barrel counter is shown in Fig. 22. The inefficiencies were obtained for a sandwich counter composed of 1-mm-thick lead and 5-mm-thick plastic-scintillator plates. These plates were stacked in the z direction. The inefficiencies were prepared with full shower simulations by applying a threshold for the deposited energy at 1 MeV. The inefficiencies were given as a function of the photon energy and the incident angle measured from the z axis.

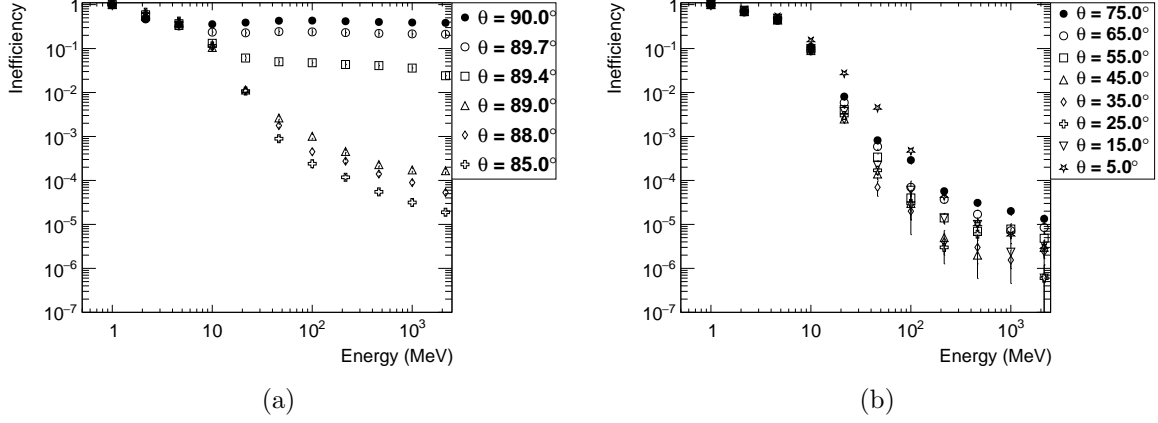


Figure 22: Photon inefficiency of the Barrel Counter for photons incident at angles of 85–90° (a) and 5–75° (b). The photon incident angle is the angle between the momentum vector and the z-axis. When the photon direction is perpendicular to the barrel detector surface, the incident angle is 90°.

Charged Veto Counter inefficiency for penetrating charged particles The inefficiency of the Charged Veto Counter contributes to the backgrounds from the $K_L \rightarrow \pi^\pm e^\mp \nu$ and $K_L \rightarrow \pi^\pm \mu^\mp \nu$ decays. The two charged particles from these decays could make two clusters on the calorimeter, which mimics the signal if these are not detected with the Charged Veto Counter.

In the conceptual design, reduction of 10^{-12} for these backgrounds with the Charged Veto Counter is required. For example, two planes of the Charged Veto Counter with 10^{-3} reduction of a single charged particle per plane will reduce the background with the two charged particles by 10^{-12} . In KOTO, we achieved the 10^{-5} reduction of a single charged particle with one plane [44]. Therefore, the 10^{-3} reduction with one plane is achievable.

Beam Hole Counter charged-particle inefficiency In KOTO, we are operating a MWPC-type gas-wire chamber (Thin Gap Chamber), with which we have achieved 5×10^{-3} inefficiency for charged particles [45]. Based on this result, we assume the same 5×10^{-3} inefficiency for the beam-hole charged-veto counter in the KOTO II design.

Beam Hole Counter photon inefficiency In KOTO, we are operating 16 modules of a lead-aerogel Cherenkov counter [46] as the in-beam photon veto counter. This detector is insensitive to beam neutrons, because protons or charged pions generated from the neutron-interaction tend to be slow and emit less Cherenkov radiation. By taking three-consecutive coincident hits in the modules, electromagnetic shower is efficiently detected, because it develops in the forward direction and is laterally well collimated.

We assume 25 modules of such a counter for the beam-hole photon-veto counter. The photon detection performance was studied with a reliable full-shower simulation developed for KOTO. Inefficiencies as a function of the incident-photon energy are shown in Fig. 23 for several detection thresholds on the number of observed photoelectrons. For this report, we use the threshold of 5.5 photoelectrons.

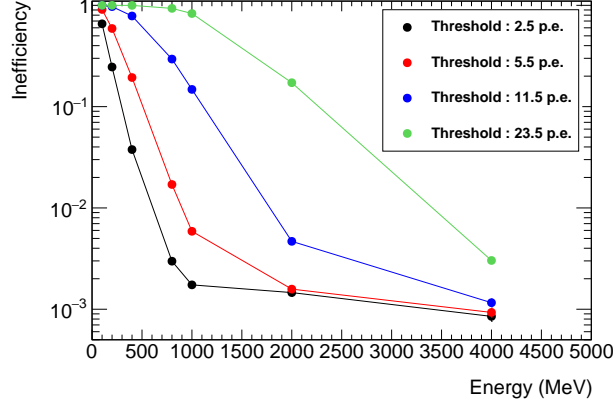


Figure 23: Photon inefficiency of the Beam Hole Counter.

5.4.4 Timing resolution of the Central Barrel Counter

We assume the timing resolution of the Central Barrel Counter as shown in Fig. 24 based on the study performed for the new barrel photon counter installed in KOTO [47]. The resolution is modeled as a function of the incident energy. It is 2 ns for the incident energy of 1 MeV, for example.

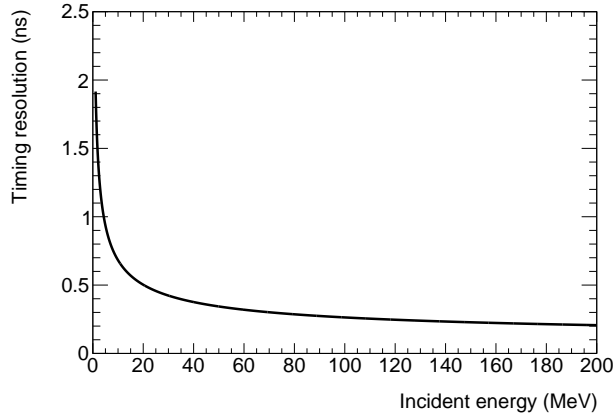


Figure 24: Assumed timing resolution of the Central Barrel Detector.

6 Sensitivity and Background Estimation

6.1 Beam conditions

We assume the beam conditions and running time as in Table 4.

Table 4: Assumed beam conditions and running time.

Beam power	100 kW	(at 1-interaction-length T2 target) ($1.1 \times 10^7 K_L / 2 \times 10^{13}$ POT)
Repetition cycle	4.2 s	
Spill length	2 s	
Running time	3×10^7 s	

6.2 Reconstruction

We evaluated yields of the signal and backgrounds with Monte Carlo simulations. The calorimeter response was simulated either with model responses as explained in Section 5 or with shower simulations in the calorimeter. We assumed 50-cm-long CsI crystals for the calorimeter material in the shower simulations.

A shower is generated by a particle incident on the calorimeter. A cluster is assembled from the energy deposits in multiple, adjacent calorimeter cells. Assuming the incident particle to be a photon, the energy and position are reconstructed for the cluster, which is treated as a photon in the analysis regardless of the original particle species.

A π^0 is reconstructed from the two photons on the calorimeter; The opening angle between the momentum directions of the two photons (θ) can be evaluated with the energies of the two photons (E_0, E_1) from 4-momentum conservation:

$$\begin{aligned} p_{\pi^0} &= p_0 + p_1, \\ m_{\pi^0}^2 &= 2E_0E_1(1 - \cos\theta), \end{aligned}$$

where p_{π^0} is the four-momentum of the π^0 , p_0 and p_1 are the four-momenta of the two photons, and m_{π^0} is the nominal mass of the π^0 . The vertex position of the π^0 is assumed to be on the z axis, owing to the narrow beam, and the z vertex position (z_{vtx}) is calculated from the geometrical relation among θ and hit positions $\mathbf{r}_0 = (x_0, y_0)$, $\mathbf{r}_1 = (x_1, y_1)$ on the calorimeter as shown in Fig. 25. The value of z_{vtx} gives the momenta of two photons, and the sum of the momenta gives the momentum of the π^0 . Accordingly, the π^0 transverse momentum (p_T) is obtained.

6.3 Event selection

We use the following event selection criteria for events with two clusters in the calorimeter.

1. Sum of two photon energies : $E_0 + E_1 > 500$ MeV.
2. Calorimeter fiducial area : $\sqrt{x_0^2 + y_0^2} < 1350$ mm, $\sqrt{x_1^2 + y_1^2} < 1350$ mm.
3. Calorimeter fiducial area : $\max(|x_0|, |y_0|) > 175$ mm, $\max(|x_1|, |y_1|) > 175$ mm.
4. Photon energy : $E_0 > 100$ MeV, $E_1 > 100$ MeV.

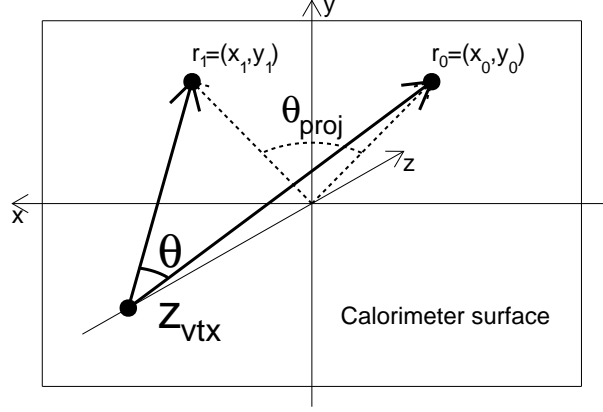


Figure 25: Geometrical relation in the vertex reconstruction.

5. Distance between the two photons : $|\mathbf{r}_1 - \mathbf{r}_0| > 300 \text{ mm}$.
6. Projection angle (θ_{proj} as shown in Fig. 25) : $\theta_{\text{proj}} \equiv \arccos\left(\frac{\mathbf{r}_0 \cdot \mathbf{r}_1}{|\mathbf{r}_0||\mathbf{r}_1|}\right) < 150^\circ$.
7. π^0 decay vertex : $3 \text{ m} < z_{\text{vtx}} < 15 \text{ m}$.
8. π^0 transverse momentum : $130 \text{ MeV}/c < p_T < 250 \text{ MeV}/c$.
9. Tighter π^0 p_T criteria in the downstream region (Fig. 26):

$$\frac{p_T}{(\text{MeV}/c)} > \frac{z_{\text{vtx}}}{(\text{mm})} \times 0.008 + 50.$$
10. Selection to reduce hadron cluster background:
In order to reduce neutron clusters, cluster shape, pulse shape, and depth information of the hits in the calorimeter are used as in the analysis of KOTO data. A signal selection efficiency of $0.9^3 = 0.73$ is assumed. The reduction of the background is discussed in Sec. 6.5.6.
11. Selection to reduce halo $K_L \rightarrow 2\gamma$ background:
The photon angle-of-incidence information is used to reduce the halo $K_L \rightarrow 2\gamma$ background as in KOTO. A signal selection efficiency of 0.9 is assumed. The reduction of the background is discussed in Sec. 6.5.4.

The first five criteria ensure the quality of the photon cluster. The sum of the photon energies is useful to reduce a trigger bias, because we plan to use the sum of the calorimeter energy for the trigger. The edge region of the calorimeter is avoided in order to reduce the effects of energy leakage from the calorimeter. The use of higher energy photons give better energy, time, and position resolution. The requirement of a large distance between the two photons reduces the overlap of the two clusters.

The next four criteria are kinematic selections. The projection angle selection requires no back-to-back configuration of the two photons, to reduce contamination from $K_L \rightarrow 2\gamma$. Larger values of π^0 p_T are required to match the kinematics of the signal. The tighter p_T selection is required in the downstream region because the reconstructed p_T tends to be larger for decays near the calorimeter due to the worse p_T resolution in this region.

The last two criteria make use of the particle-identification and reconstruction capabilities of the calorimeter to discriminate between photon and neutron clusters, or between correct and incorrect photon angles of incidence.

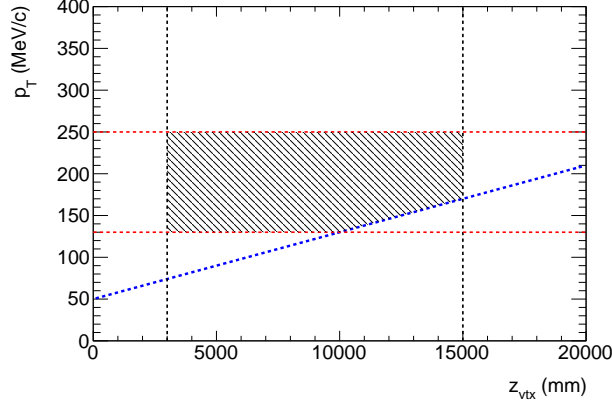


Figure 26: The shaded area shows the p_T criteria in the $z_{\text{vtx}}-p_T$ plane. The blue dotted line shows the tighter p_T criteria in the downstream region.

6.4 Signal yield

The $K_L \rightarrow \pi^0 \nu \bar{\nu}$ yield can be factorized into the decay probability within the z region from 3 m to 15 m, the geometrical acceptance to have 2 photons in the calorimeter, and the cut acceptance described in the following subsections. The signal losses called “accidental loss” and “shower-leakage loss” will be introduced and discussed in the later subsections.

6.4.1 Decay probability and geometrical acceptance of two photons at the calorimeter

The decay probability ($P_{\text{decay}}^{\text{truth}}$) is defined:

$$P_{\text{decay}}^{\text{truth}} = \frac{\text{Number of } K_L \text{ that decay in } 3 \text{ m} < z < 15 \text{ m}}{\text{Total number of } K_L \text{ at } z = -1 \text{ m}}.$$

It is evaluated by MC simulation as in Fig. 27(a) to be 9.9%.

The geometrical acceptance ($A_{\text{geom}}^{\text{truth}}$) is defined:

$$A_{\text{geom}}^{\text{truth}} = \frac{\text{Number of } K_L \text{ with } 2\gamma \text{'s in the calorimeter that decayed in } 3 < z < 15 \text{ m}}{\text{Number of } K_L \text{ that decayed in } 3 \text{ m} < z < 15 \text{ m}}.$$

It is also evaluated by MC simulation to be 24% as shown in Fig. 27(b).

The quantities $P_{\text{decay}}^{\text{truth}}$ and $A_{\text{geom}}^{\text{truth}}$ relate to the true decay z position. In the following sections, the reconstructed z_{vtx} is used to give a realistic evaluation. $P_{\text{decay}}^{\text{truth}} \times A_{\text{geom}}^{\text{truth}}$ can be compared with $A_{2\gamma}$, which is defined in terms of the reconstructed z_{vtx} :

$$A_{2\gamma} = \frac{\text{Number of events with } 2\gamma \text{ hits with } 3 \text{ m} < z_{\text{vtx}} < 15 \text{ m}}{\text{Total number of } K_L \text{ at } z = -1 \text{ m}}.$$

The MC simulation gives $A_{2\gamma} = 2.4\%$, which is consistent with $P_{\text{decay}}^{\text{truth}} \times A_{\text{geom}}^{\text{truth}} = 2.4\%$.

6.4.2 Cut acceptance

The acceptances for cuts 1 through 6, 8, and 9² listed in Sec. 6.3 are summarized in Fig. 28. The overall acceptance after applying all of these cuts is 40%. The distributions

²Cut 7, the z_{vtx} selection, is already treated in the previous section.

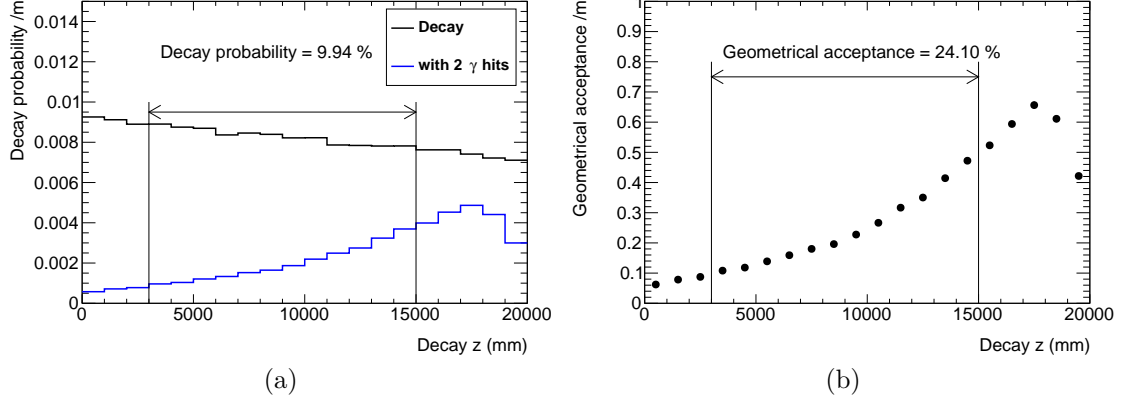


Figure 27: Decay probability (a) and geometrical acceptance (b).

of the cut variables are shown in Fig. 29. The assumed acceptance for the additional cuts to reduce the hadron-cluster and halo $K_L \rightarrow 2\gamma$ backgrounds is $0.9^4 = 66\%$. Including all of the above, the overall cut acceptance is 26%.

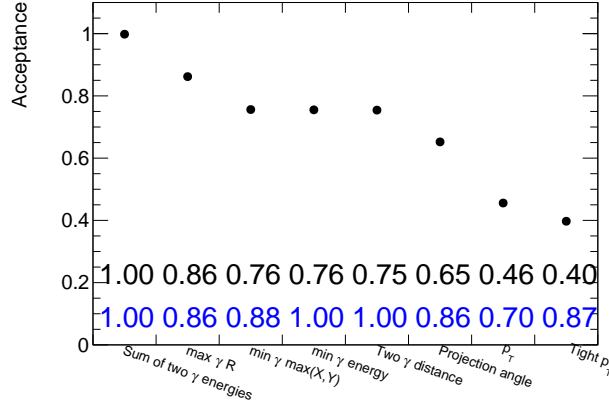


Figure 28: Cut acceptance. The black numbers in the figure show cumulative acceptances, the blue numbers show individual acceptances.

6.4.3 Accidental loss

In order to veto background events, we set a timing window (veto window) to detect extra particles with respect to the two-photon hit timing at the calorimeter. The width of the veto window is set to 40 ns for the Central Barrel Counter, 30 ns for the beam-hole charged-veto counter, 6 ns for the beam-hole photon-veto counter, and 20 ns for the other counters throughout this report.

When the $K_L \rightarrow \pi^0 \nu \bar{\nu}$ signal is detected with the calorimeter, another K_L might decay accidentally and its daughter particle may hit a counter at the same time. Similarly, a photon or neutron in the beam might hit the Beam Hole Counter at the same time. These accidental hits will veto the signal if the hit timing is within the veto window. We call this type of signal loss “accidental loss”.

In the following, we first estimate the accidental losses from the detectors other than the beam-hole counter; we then evaluate the accidental loss from the Beam-Hole Counter.

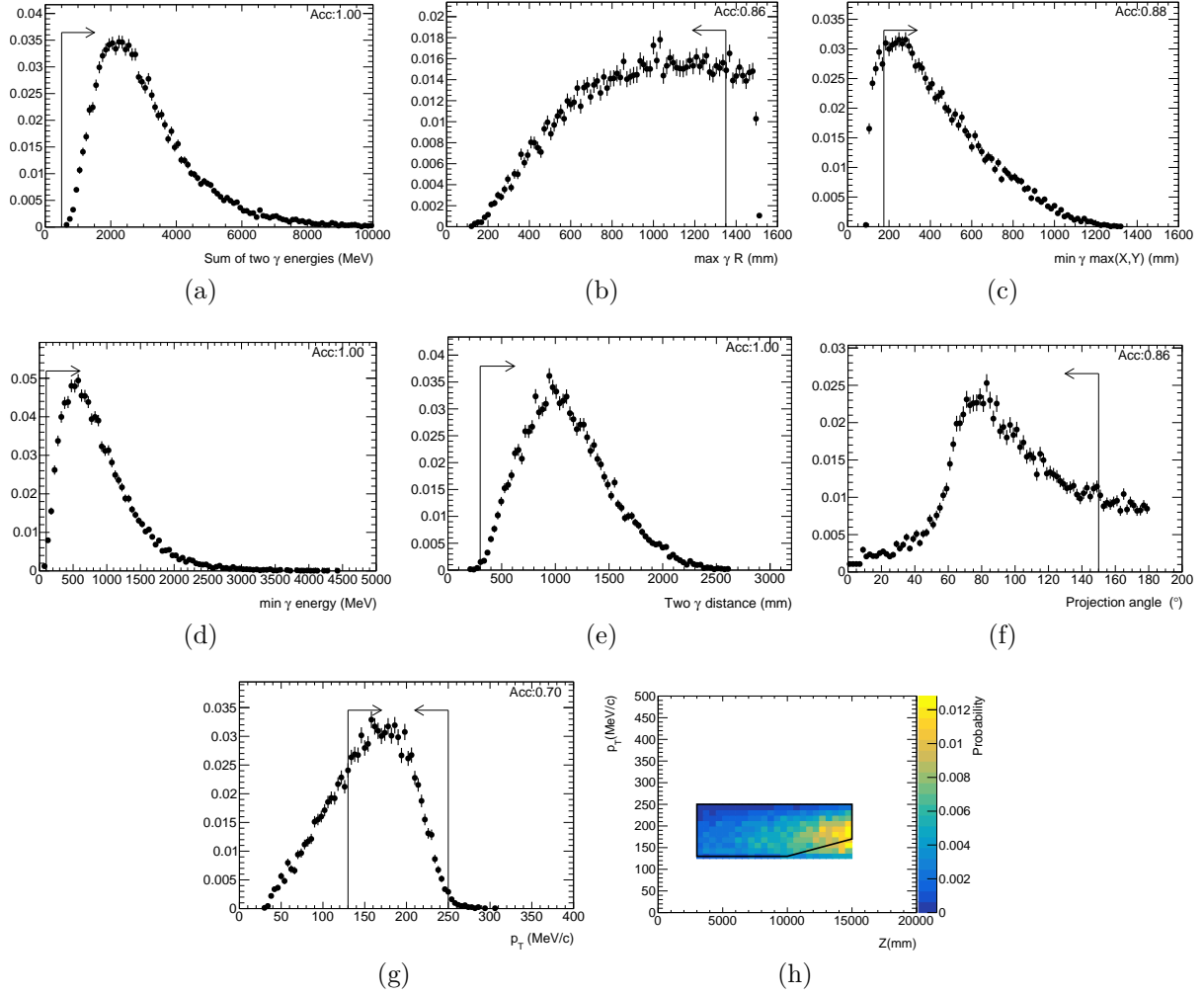


Figure 29: Distributions of variables used in the event selections: (a) sum of two photon energies, (b) radial hit position, (c) inner hit positions (d) minimum photon energy, (e) distance between two photons, (f) projection angle, (g) p_T , and (h) tighter p_T selection in the downstream

Detectors other than Beam-Hole Counter The hit rate for each detector and the veto width are summarized in Table 5. The detector rates were obtained with a K_L -decay simulation. In the simulation, K_L s were shot from $z = -10$ m, and a 4-m-long modeled collimator with a 20 cm \times 20 cm square beam hole was located at z from -5 m to -1 m. The rate of K_L decays with detector hits was found to be 5.1 MHz, to which the main contribution is from K_L -decays in the region -1 m $< z < 23$ m. We evaluated the accidental loss from hits on detectors other than the beam-hole counters to be 17.9% in total. This is a conservative number, because two or more different counters can have hits in coincidence from the same K_L -decay, but these hits are counted separately in the rates for different counters.

Beam-hole charged-veto counter We evaluated the hit rate of the beam-hole charged-veto counter based on the current detector design in KOTO. It consists of three layers of a MWPC-type wire chamber [45] with a small amount of material: the thickness of the gas volume for each layer is 2.8 mm, and the cathode plane is a 50- μ m-thick

graphite-coated polyimide film. This design reduces the hit rate from neutral particles, such as photons, neutrons, and K_L s. A layer-hit is defined as an energy deposit larger than $1/4$ of the minimum-ionizing-particle peak. A counter-hit is defined as two layer-hits in coincidence out of three layers, providing a charged-particle efficiency of better than 99.5% with a minimal contribution from neutral particles. The width of the veto window is 30 ns to cover the drift time of the ionized electrons in the chamber. The particles simulated with the beam line simulation were injected into the beam-hole charged-particle veto counter. Figure 30 shows the hit rate for each readout channel. The counter-hit rate with the two-out-of-three logic is 2.9 MHz as shown in channel -1 in the figure. The accidental loss with this counter is 8.3% with a 30-ns veto window.

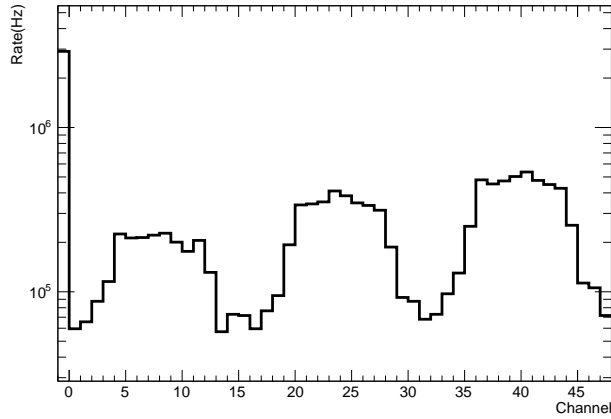


Figure 30: Expected hit rate for the KOTO-II beam-hole charged-particle veto counter with a $1/4$ minimum-ionizing-particle peak threshold. It consists of three layers of a MWPC-type wire chamber with 16-channel readout for each layer. Histogram channels 0–47 correspond to the individual readout channels. Histogram channel -1 corresponds to the detector rate as determined by two layer-hits in coincidence out of three layers.

Beam-hole photon-veto counter We evaluated the hit rate of the beam-hole photon-veto counter based on the current detector design in KOTO, which consists of 16 modules of lead-aerogel Cherenkov counters [46]. A high-energy photon generates an e^+e^- pair in the lead plate, and these generate Cherenkov light in the aerogel radiator. The Cherenkov light is guided by mirrors to a PMT. In this report, we use 25 modules for KOTO II. Details on the lead or aerogel thickness for each module are described in Sec. 7.4.

The particles collected in the beam line simulation were injected into the beam-hole photon-veto counter with 25 modules. A full-shower simulation with optical-photon tracking to the PMTs was performed, and the observed number of photoelectrons was recorded. The individual module-hit is defined with a 5.5-photoelectron (p.e.) threshold. The counter-hit is defined by a coincidence of module-hits on three consecutive modules. The module-hit rates and the counter-hit rate are shown in Fig. 31. Of the counter rate of 35.2 MHz, 60% comes from beam photons, and 30% comes from the beam neutrons. The accidental loss with this counter is 19% with a 6-ns veto window.

Conclusion on the accidental loss The rate (r_i), the veto width (w_i), and the individual loss ($1 - \exp(-w_i r_i)$) for each detector are summarized in Table 5.

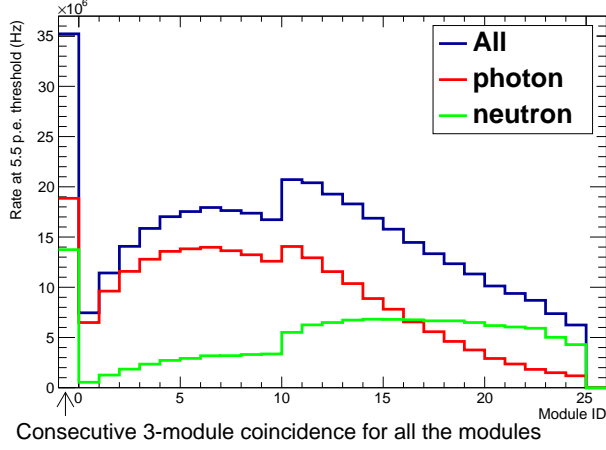


Figure 31: Expected hit rate for the beam-hole photon-veto counter for KOTO II. Histogram channels 0–24 show the module-hit rates with a 5.5-p.e.-threshold for each module. Histogram channel –1 shows the counter-hit rate, defined as the rate of module-hits in coincidence on three consecutive modules.

The total accidental loss is evaluated:

$$\begin{aligned} \text{Accidental loss} &= 1 - \exp\left(-\sum_i w_i r_i\right) \\ &= 39\%. \end{aligned}$$

Table 5: Summary of rate, veto width, and individual accidental loss.

Detector	Rate(MHz)	Veto width (ns)	Individual loss (%)
Front Barrel Counter	0.18	20	0.4
Upstream Collar Counter	0.80	20	1.6
Central Barrel Counter	2.21	40	8.5
Calorimeter	3.45	20	6.7
Downstream Collar Counter	0.97	20	1.9
Beam-hole charged-veto	2.9	30	8.3
Beam-hole photon-veto	35.2	6	19

6.4.4 Shower-leakage loss

When two photons from the $K_L \rightarrow \pi^0 \nu \bar{\nu}$ decay are detected in the calorimeter, fragments from the shower can leak both downstream and upstream of the calorimeter, and make hits on the other counters such as the Central Barrel Counter. Such hits will veto the signal if the hit timing is within the veto window. We call this signal loss “shower-leakage loss”. In particular, we call the loss caused by shower leakage in the upstream direction “backsplash loss”.

Downstream shower-leakage loss The downstream shower leakage causes shower-leakage loss in the Downstream Collar Counter and the Central Barrel Counter.

The Downstream Collar Counter is hit by shower leakage passing through the 50-cm long (27 radiation-length) CsI crystal of the calorimeter. This signal loss of 8% is neglected, because this effect can be mitigated with hit-position information in the Downstream Collar Counter, or an absorber upstream of the Downstream Collar Counter. This is one of the design requirements for the Downstream Collar Counter.

For the Central Barrel Counter, shower leakage at the outer edge of the calorimeter makes hits in the barrel counter, because the barrel counter covers the side of the calorimeter. This causes 3.4% loss of the signal; however, we allow it because the barrel coverage is also effective to reduce the background from $K_L \rightarrow 2\pi^0$.

Backsplash loss at the Charged Veto Counter The Charged Veto Counter covers the upstream face of the calorimeter and would suffer from backsplash. Because the Charged Veto Counter is located 30-cm upstream of the calorimeter, the timing of the Charged Veto Counter defined with respect to the calorimeter timing differs by at least 2 ns between K_L -decay particles and backsplash particles, with the K_L decay particles giving hits at least 1 ns earlier than the calorimeter timing, and the backsplash particles giving hits at least 1 ns later. We require good timing resolutions for the Charged Veto Counter and the calorimeter to discriminate between these types of hits in order to avoid contributions to the backsplash loss. This is one of the requirements on the detector design. For example, a timing resolution of 300 ps for both the calorimeter and the Charged Veto Counter would work. Reducing the material in the Charged Veto Counter will also reduce the backsplash loss, because the backsplash particles are 95% photons.

Backsplash loss and barrel-timing definition The large coverage of the Central Barrel Counter gives rise to a significant contribution to the backsplash loss. First, we introduce a timing definition for the Central Barrel Counter ($t_{\text{BarrelVeto}}$):

$$t_{\text{BarrelVeto}} = t_{\text{BarrelHit}} - \left[t_{\text{CalorimeterHit}} - \frac{z_{\text{Calorimeter}} - z_{\text{BarrelHit}}}{c} \right].$$

The concept of this definition is illustrated in Fig. 32. The calorimeter hit timing ($t_{\text{CalorimeterHit}}$) is corrected with the expected time of flight from the z position of the barrel hit ($z_{\text{BarrelHit}}$) and the calorimeter z position ($z_{\text{Calorimeter}}$). $t_{\text{BarrelVeto}}$ is the relative timing of the actual barrel hit ($t_{\text{BarrelHit}}$) with respect to the corrected calorimeter timing. This reduces the barrel timing fluctuations for K_L decays, and the veto window can be shortened. The backward-going particle in the K_L decay shown in the middle panel of Fig. 32 makes $t_{\text{BarrelVeto}}$ larger, leading to a required veto window from -5 ns to 35 ns. Backsplash particles also give large values of $t_{\text{BarrelVeto}}$, and a 40-ns veto-timing requirement reduces the backsplash loss for $t_{\text{BarrelVeto}} > 35$ ns.

Characteristics of backsplash The arrival time distribution of the shower-leakage particles is shown in Fig. 33(a). The incident-particle multiplicity is shown in Fig. 33(b). The mean multiplicity is 8.0 without any timing requirement. This large multiplicity makes the reduction of the backsplash loss difficult, because the detection of any one of these multiple particles will kill the signal. The timing requirement of the veto window reduces the mean multiplicity to 7.1.

Most of the incident particles are low energy photons, and the fraction of electrons or positrons is 4.8%. The distribution of the maximum photon energy in a event within the

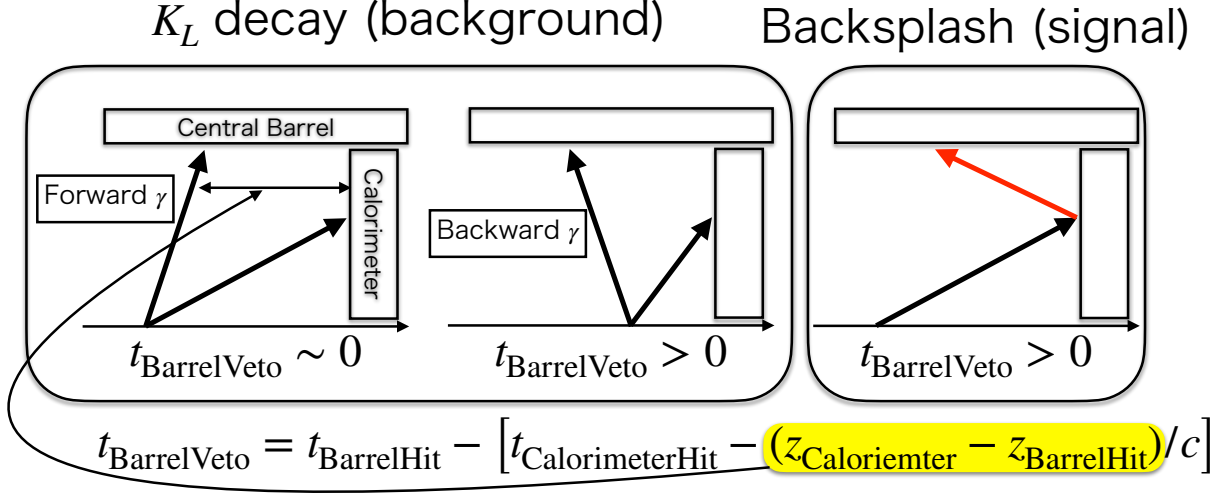


Figure 32: Configurations of barrel hits.

veto window is shown in Fig. 33(c). The probability of containing one or more photons with energy larger than 1 MeV (3 MeV) is still 84% (35%).

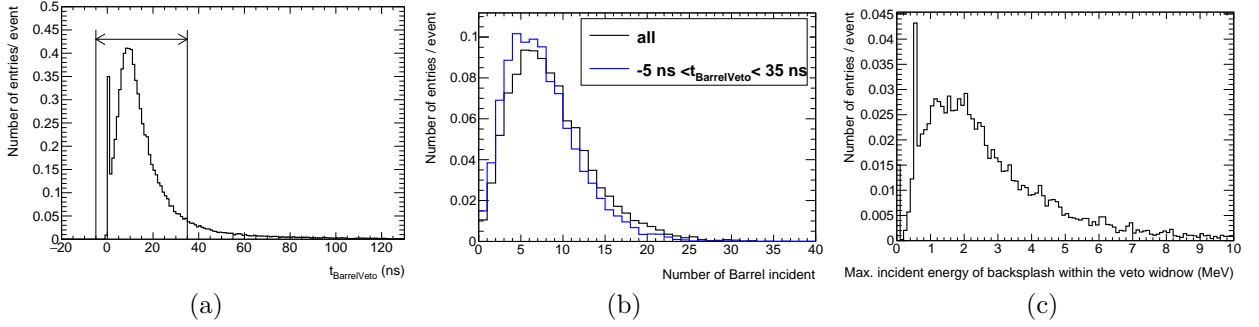


Figure 33: Distributions of the arrival time on the barrel of particles from shower leakage (a), the multiplicity of shower-leakage particles incident on the barrel (b), and the maximum energy of the shower-leakage photons incident on the barrel within the veto window (c). The left-right arrow in (a) illustrates the veto window.

Events survive the veto only when none of the backplash particles are detected. The probability not to detect a particle is the inefficiency ($= 1 - \text{efficiency}$); therefore, the event survival probability is obtained by multiplying the inefficiencies for detection of each of the incident particles. The inefficiency for 3-MeV photons is roughly 60%, for example. These contribute to the backplash loss. At this stage, the survival probability of a event after vetoing the shower-leak particles is 57%, which can be improved as discussed in the following paragraph.

Veto window depending on hit position Figure 34 shows the arrival time of the backplash particles on the Central Barrel Counter as a function of the position of incidence in z . The time smearing is applied with time resolution depending on the incident energy as discussed in Sec.5.4.4. The events with $t_{\text{BarrelVeto}}$ at $z \sim 20000$ mm are generated by neutrons from the electromagnetic shower. Events with smaller z tend to have larger $t_{\text{BarrelVeto}}$ due to the longer flight distance. This clear correlation can be used to exclude the backplash particles from the veto to reduce the backplash loss. We

loosen the veto criteria³ in the downstream region: over the interval in z from 12.5 m to 17 m, the upper limit of the veto time window decreases linearly from 35 ns to 4 ns, and for $z > 17$ m, it is 4 ns. The region within the two lines in the figure shows the new veto region, which gives a survival probability of 91%, or equivalently, a backsplash loss of 9%. When an additional smearing in z using a gaussian with $\sigma = 500$ mm is applied, the same survival probability is obtained.

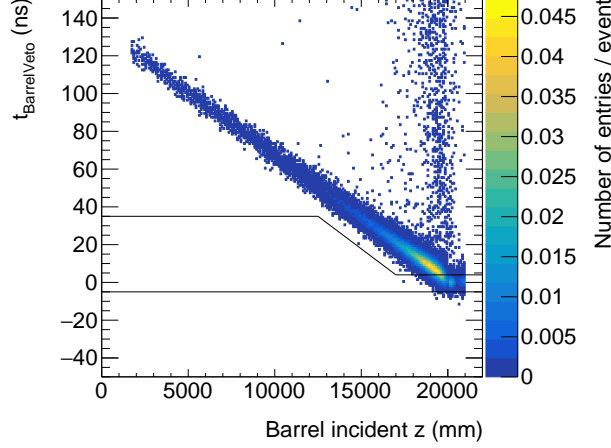


Figure 34: Arrival time of backsplash particles on the Central Barrel Counter as a function of the position of incidence in z . Time smearing is applied with time resolution depending on the incident energy.

6.4.5 Signal yield including the signal losses

We evaluate the number of signal events (S) expected to be collected in 3×10^7 s of running time, assuming $\text{BR}(K_L \rightarrow \pi^0 \nu \bar{\nu}) = 3 \times 10^{-11}$:

$$\begin{aligned}
 S &= \frac{(\text{beam power}) \times (\text{running time})}{(\text{beam energy})} \times (\text{number of } K_L/\text{POT}) \\
 &\quad \times P_{\text{decay}} \times A_{\text{geom}} \times A_{\text{cut}} \times (1 - \text{accidental loss}) \times (1 - \text{backsplash loss}) \times \mathcal{B}_{K_L \rightarrow \pi^0 \nu \bar{\nu}} \\
 &= \frac{(100 \text{ kW}) \times (3 \times 10^7 \text{ s})}{(30 \text{ GeV})} \times \frac{(1.1 \times 10^7 K_L)}{(2 \times 10^{13} \text{ POT})} \\
 &\quad \times 9.9\% \times 24\% \times 26\% \times (1 - 39\%) \times 91\% \times (3 \times 10^{-11}) \\
 &= 35.
 \end{aligned}$$

Here, P_{decay} is the decay probability, A_{geom} is the geometrical acceptance for the two photons to enter the calorimeter, A_{cut} is the cut acceptance, and $\mathcal{B}_{K_L \rightarrow \pi^0 \nu \bar{\nu}}$ is the branching fraction for $K_L \rightarrow \pi^0 \nu \bar{\nu}$. The distribution of the events in the $z_{\text{vtx}}-p_T$ plane is shown in Fig. 35. The comparison of signal acceptance with KOTO II and KOTO is shown in Table 6.

6.5 Background estimation

All estimated numbers of background events in this section refer to 3×10^7 seconds of running time.

³The loose veto criteria could reduce also the accidental loss described in Sec. 6.4.3. In this report, we do not change the accidental loss obtained in Sec. 6.4.3, which can be improved with this loose veto.

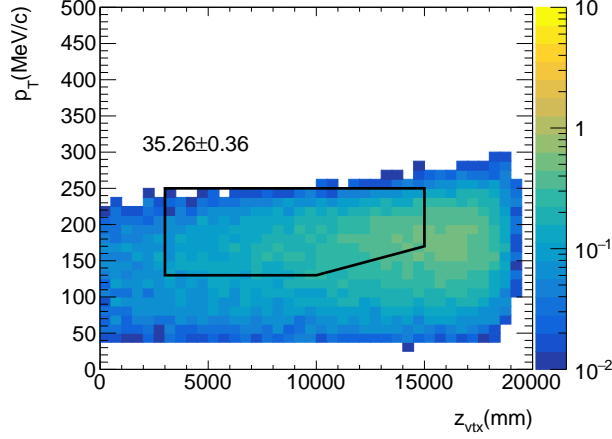


Figure 35: Distribution of $K_L \rightarrow \pi^0 \nu \bar{\nu}$ events in the $z_{\text{vtx}}-p_T$ plane for a running time of 3×10^7 s. All cuts except for the p_T and z_{vtx} cuts are applied.

Table 6: Comparison of the signal acceptance with KOTO II and KOTO

	KOTO II	KOTO	Improvement factor
Number of K_L/POT	$\times 2.6$		2.6
P_{decay}	9.9%	3.3%	3.0
A_{geom}	24%	26%	0.9
A_{cut}	26%	11%	2.4
1-accidental loss	61%	29%	2.1
1-backsplash loss	91%	56%	1.6
Total			58

6.5.1 $K_L \rightarrow \pi^0 \pi^0$

$K_L \rightarrow \pi^0 \pi^0$ becomes a background when two clusters are formed at the calorimeter and the other photons are missed. The following cases can be distinguished:

1. Fusion background: Three photons enter the calorimeter, and two of them are fused into a single cluster. The fourth photon is missed due to detector inefficiency.
2. Even-pairing background: Two photons from a π^0 -decay form clusters in the calorimeter. Two photons from the other π^0 are missed due to detector inefficiency.
3. Odd-pairing background : One photon from one π^0 and one photon from the other π^0 form clusters in the calorimeter. The other two photons are missed due to detector inefficiency.

We estimate the total number of background events from $K_L \rightarrow \pi^0 \pi^0$ to be 16.9, of which 1.6 events come from fusion, 11 events from the even-pairing background, and 4.3 events from the odd-pairing background. Fig. 36 shows the distribution of $K_L \rightarrow \pi^0 \pi^0$ background events in the $z_{\text{vtx}}-p_T$ plane, with all cuts applied except for the z_{vtx} and p_T selections. Among these 33.2 background events, both of the two extra photons are missed in the Central Barrel Counter in 29 events, which gives the dominant contribution.

For the barrel veto, the definition of the veto time $t_{\text{BarrelVeto}}$, and the veto window depending on the barrel hit position are introduced in Sec. 6.4.4. Fig. 37 shows the

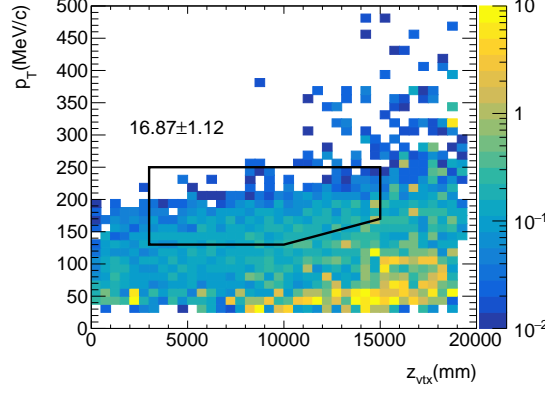


Figure 36: Distribution of $K_L \rightarrow \pi^0\pi^0$ background events in the $z_{\text{vtx}}-p_T$ plane for a running time of 3×10^7 s. All cuts except for those on p_T and z_{vtx} are applied.

correlation between $t_{\text{BarrelVeto}}$ and position of incidence in z on the barrel, with all cuts imposed. Time smearing is applied with the time resolution depending on the incident energy as described in Sec.5.4.4.

For photons incident on the barrel downstream of $z = 15$ m, the photon direction tends to be forward because the signal region is upstream of 15 m. Therefore, the timing fluctuation is small, and the time resolution is better due to the higher energy photons. The number of background events does not change by introducing the veto window with dependence on the barrel hit position.

For photons incident on the barrel upstream of 15 m, the timing fluctuation is larger, and events with $t_{\text{BarrelVeto}} > 35$ ns exist. For the events with larger $t_{\text{BarrelVeto}}$, the energy is small, as shown in Fig. 37 (b), because of the backward photon. These low energy photons are difficult to detect in any case, so missing them outside the veto window causes only a small increase in the $K_L \rightarrow \pi^0\pi^0$ background. In fact, the expected number of events with $t_{\text{BarrelVeto}} > 35$ ns is small (0.1 events).

If we define the veto window with the cut $t_{\text{BarrelVeto}} < 15$ ns instead of the baseline criterion $t_{\text{BarrelVeto}} < 35$ ns, the number of background events increases by only 3.4 events.

With an additional smearing in z using a gaussian with $\sigma = 500$ mm applied to the distribution in Fig. 37, the same number of background events is obtained.

6.5.2 $K_L \rightarrow \pi^+\pi^-\pi^0$

$K_L \rightarrow \pi^+\pi^-\pi^0$ becomes a background when the π^+ and π^- are not detected. The number of these background events is evaluated to be 2.5 as shown in Fig. 38, where one charged pion is lost in the Charged Veto Counter, and the other is lost in the Beam Hole Counter. The maximum p_T of the reconstructed π^0 is limited by kinematics and the p_T resolution. The tighter p_T selection in the downstream region makes the cut pentagonal in the $z_{\text{vtx}}-p_T$ plane as shown in the figure. This cut reduces the background because the p_T resolution is worse in the downstream region.

6.5.3 $K_L \rightarrow \pi^\pm e^\mp \nu$ (Ke3)

Ke3 events become background when the electron and charged pion are not identified with the Charged Veto Counter. The number of background events is evaluated to be 0.08, assuming a 10^{-12} reduction with the Charged Veto Counter, as shown in Fig. 39.

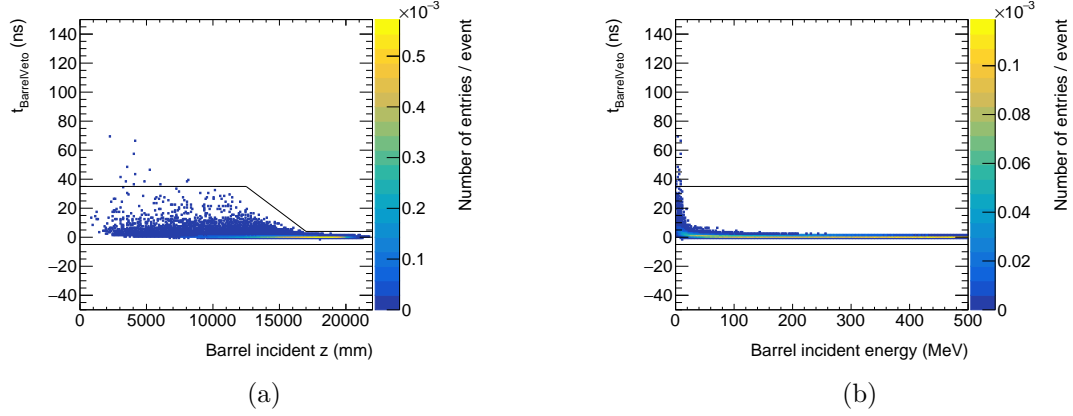


Figure 37: Correlations between $t_{\text{BarrelVeto}}$ and the position of incidence in z (a), and between $t_{\text{BarrelVeto}}$ and the energy of the incident photon (b), for photons from $K_L \rightarrow \pi^0\pi^0$ arriving on the barrel, with all cuts imposed and time smearing with timing resolution depending on the incident photon energy.

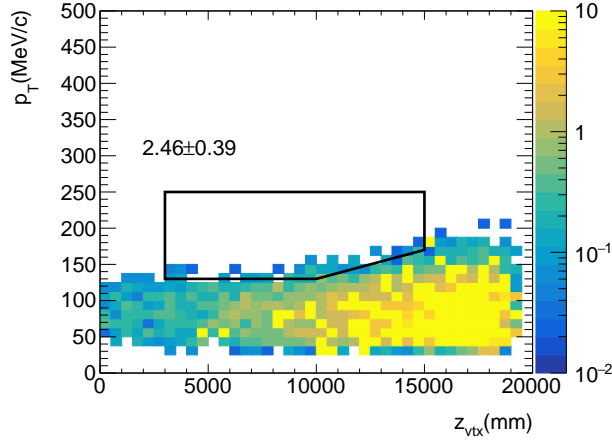


Figure 38: Distribution of $K_L \rightarrow \pi^+\pi^-\pi^0$ background events in the $z_{\text{vtx}}-p_T$ plane for a running time of 3×10^7 s. All cuts except for those on p_T and z_{vtx} are applied.

6.5.4 $K_L \rightarrow 2\gamma$ for halo K_L

K_L s in the beam can scatter off of the beam line components and enter the beam halo region. When such a halo K_L decays into two photons, an incorrectly large value for the reconstructed p_T may be obtained due to the assumption of the vertex on the z -axis. The decay vertex is incorrectly reconstructed with the nominal pion mass assumption. This incorrect vertex position gives incorrect values for the apparent angles of incidence of the photons on the calorimeter. Therefore, the halo $K_L \rightarrow 2\gamma$ background can be reduced with angle-of-incidence information at the calorimeter. Another hypothetical vertex can be reconstructed with the nominal K_L mass assumption, which gives correct values for the angles of incidence of the photons. In KOTO, by comparing the observed cluster shapes to those expected from the correct and incorrect vertex position hypotheses, this background is reduced to 10% of its original level, while maintaining 90% signal efficiency. In this report, we assume that the background can be further reduced in KOTO II to 1% of its original level, because the higher energy photon in KOTO II will give better resolution in the photon angle of incidence. Further studies are in progress. The number

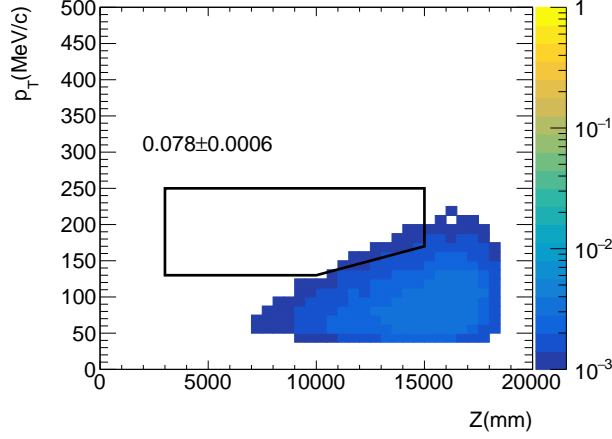


Figure 39: Distribution of Ke3 background events in the $z_{\text{vtx}}-p_T$ plane for a running time of 3×10^7 s. All cuts except for those on p_T and z_{vtx} are applied.

of $K_L \rightarrow 2\gamma$ background events is evaluated to be 4.8, as shown in Fig. 40. For the halo K_L generation in this simulation, the core K_L momentum spectrum and halo neutron directions were used. Systematic uncertainties on the flux and momentum spectrum are under further study.

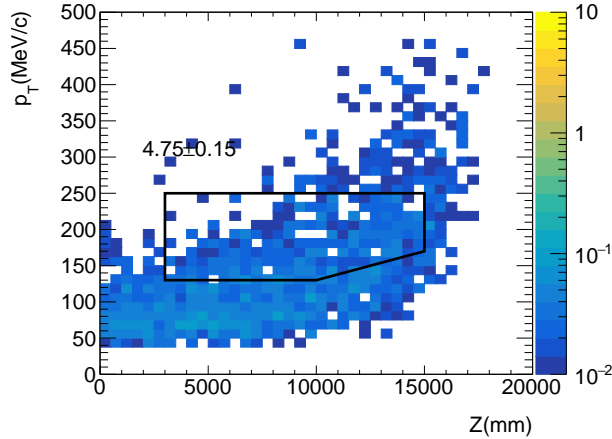


Figure 40: Distribution of halo $K_L \rightarrow 2\gamma$ background events in the $z_{\text{vtx}}-p_T$ plane for a running time of 3×10^7 s. All cuts other than the p_T and z_{vtx} cuts are applied.

6.5.5 $K^\pm \rightarrow \pi^0 e^\pm \nu$

K^\pm s are generated in the interaction of K_L s, neutrons, or π^\pm s in the collimator in the beam line. Here we assume that the second sweeping magnet near the entrance of the detector will reduce the flux to 10% of its original value.

Higher momentum K^\pm s can survive in the region downstream of the second magnet, and $K^\pm \rightarrow \pi^0 e^\pm \nu$ decays can occur in the detector. This becomes a background if the e^\pm is undetected. The kinematics of the π^0 is similar to that in $K_L \rightarrow \pi^0 \nu \bar{\nu}$ decays; therefore, this is one of the more dangerous backgrounds. Detection of the e^\pm is one of the keys to reduce this background.

We have evaluated the number of the background events to be 4.0, as shown in Fig. 41.

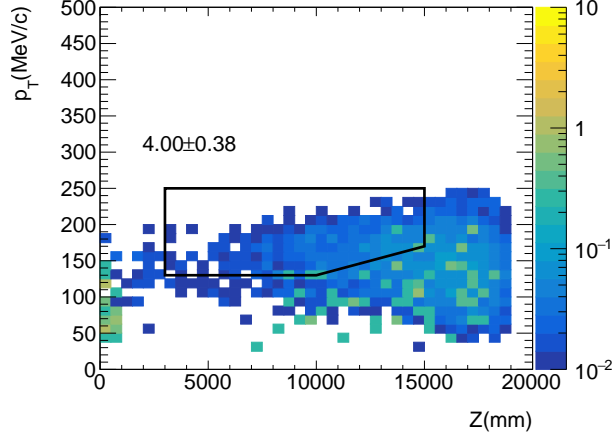


Figure 41: Distribution of $K^\pm \rightarrow \pi^0 e^\pm \nu$ events in the $z_{\text{vtx}}-p_T$ plane for a running time of 3×10^7 s. All cuts except for the p_T and z_{vtx} cuts are applied.

The veto timing of the barrel detector is essential also for reduction of this background. Figure 42 shows the correlation between the barrel hit- z -position and $t_{\text{BarrelVeto}}$ for K^\pm events. The lower momentum electrons or positrons contribute to the events with larger $t_{\text{BarrelVeto}}$ due to the backward-going configuration similarly to $K_L \rightarrow \pi^0 \pi^0$. Unlike for photons, the detection efficiency for e^\pm is high, because a few-MeV electron is still a minimum-ionizing particle. Therefore, the loss of the low-momentum particles outside the veto window can significantly increase the number of background events. The 40-ns veto window from -5 ns to 35 ns is adopted because the number of events with $t_{\text{BarrelVeto}} > 35$ ns is small (0.5 events). The number of background events increases to 322, for example, if we set a 20-ns veto window (-5 ns $< t_{\text{BarrelVeto}} < 15$ ns) instead of the 40-ns veto window.

For this report, we use the same veto timing for the charged veto as for the photon veto. There is room to optimize the veto timings independently.

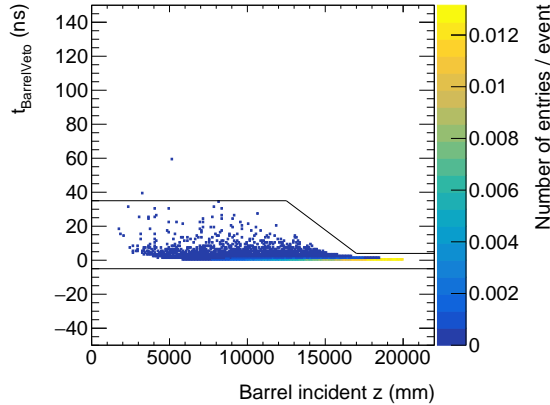


Figure 42: Correlation between $t_{\text{BarrelVeto}}$ and the position of incidence in z on the barrel for e^\pm from $K^\pm \rightarrow \pi^0 e^\pm \nu$ background events after imposing all the cuts. Time smearing is not applied.

6.5.6 Hadron cluster

The hadron cluster background arises when a halo neutron hits the calorimeter to produce a first hadronic shower, and another neutron from the shower travels inside the

calorimeter, producing a second hadronic shower at some distance from the first one. These two hadronic clusters mimic the signal.

We have evaluated this background using halo neutrons prepared with the beam line simulation, and with a calorimeter composed of 50-cm-long CsI crystals. A full-shower simulation was performed with those neutrons.

We evaluated the number of background events to be 3.0 as shown in Fig. 43. Here we assume that the hadron cluster background can be reduced by a factor of 10^{-7} with the cluster-shape, pulse-shape, and shower-depth information in the calorimeter. In KOTO, we have achieved background reduction by a factor of $\times((2.5 \pm 0.01) \times 10^{-6})$ with 72% signal efficiency by using cluster and pulse shapes. By using the shower depth information, we achieved an additional reduction factor of $\times(2.1 \times 10^{-2})$ with 90% signal efficiency and only a small correlation with the cluster and pulse shape cuts. In total, a reduction factor of 10^{-7} is feasible. This reduction power is one of the requirements on the calorimeter design.

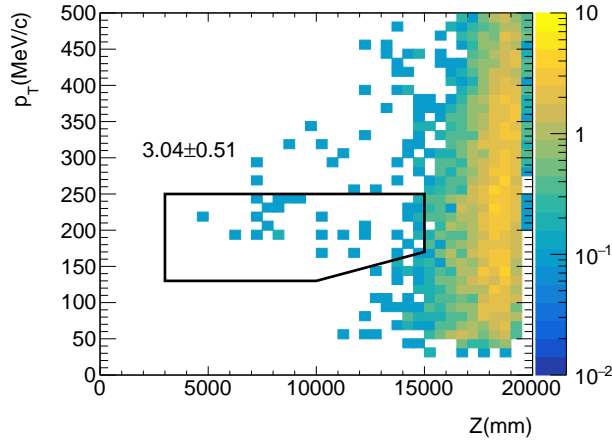


Figure 43: Distribution of hadron cluster background events in the $z_{\text{vtx}}-p_T$ plane for a running time of 3×10^7 s. All cuts other than the p_T and z_{vtx} cuts are applied.

6.5.7 π^0 production at the Upstream Collar Counter

If a halo neutron hits the Upstream Collar Counter, and produces a π^0 that decays into two photons, it can mimic the signal.

Halo neutrons obtained from the beam line simulation are used to simulate π^0 production in the Upstream Collar Counter. We assume fully-active CsI crystals for the detector. Other particles produced in the π^0 production can deposit energy in the detector, and can veto the event. In the simulation, such events were immediately discarded. In the next step, only the π^0 -decay was generated in the Upstream Collar Counter. The two photons from the π^0 can also interact in the Upstream Collar Counter, and deposit energy in the counter. Such events were also discarded. Photons from π^0 production near the downstream surface of the Upstream Collar Counter mainly survive. Finally, when the two photons hit the calorimeter, a full shower simulation was performed. In this process, photon energy can be mismeasured due to photonuclear interactions. Accordingly, the distribution of the events in the $z_{\text{vtx}}-p_T$ plane was obtained as shown in Fig. 44. We evaluated the number of expected background events to be 0.19.

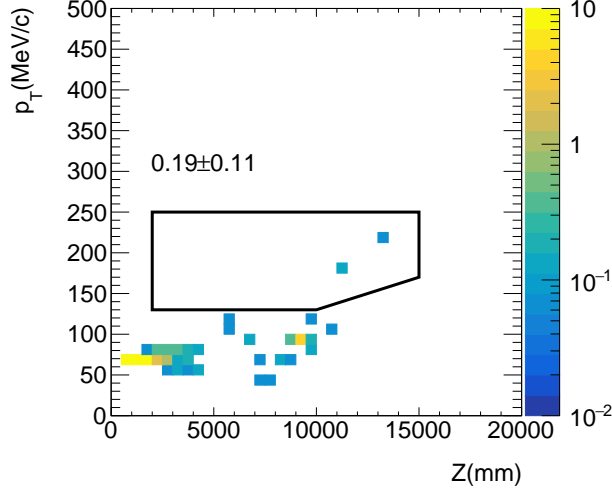


Figure 44: Distribution of background events from π^0 production at the Upstream Collar Counter in the $z_{\text{vtx}}-p_T$ plane for a running time of 3×10^7 s. All cuts other than the p_T and z_{vtx} cuts are applied.

6.5.8 η production at the Charged Veto Counter

This background arises when a halo neutron hits the Charged Veto Counter and produces an η . The η decays into two photons with a branching fraction of 39.4%, which can mimic the signal. The decay vertex will be reconstructed upstream of the Charged Veto Counter because the η mass is four times larger than the π^0 mass.

Halo neutrons obtained from the beam line simulation are used to simulate η production in the Charged Veto Counter. We assume the Charged Veto Counter to be a 3-mm-thick plastic scintillator at 30-cm upstream of the calorimeter. Other particles produced together with the η can deposit energy in the Charged Veto Counter and can veto the event. In the simulation, such events were discarded immediately. In the next step, only the η -decay was generated. When the two photons from the η hit the calorimeter, a full shower simulation was performed. Two clusters were reconstructed, and the distribution of the events in the $z_{\text{vtx}}-p_T$ plane was obtained as shown in Fig. 45. We evaluated the number of background events to be 8.2. This background can be suppressed more with cluster shape information, because the cluster shape should be different from the shape expected with the incident angle obtained from the reconstructed vertex. Further reduction is possible if the incident photon angle is reconstructed.

6.5.9 Summary of the background estimation

The estimated numbers of background events from each source are collected in Table 7. The total number of background events is 40.0 ± 2.7 , with the largest contribution of 16.9 ± 1.1 coming from the $K_L \rightarrow \pi^0 \pi^0$ decay.

6.6 Sensitivity and impact

We assume a running time of 3×10^7 s with a 100 kW proton beam on a 1-interaction-length T2 target, where the K_L flux is 1.1×10^7 per 2×10^{13} protons on target.

The sensitivity and the impacts are calculated and summarized in Table 8. Here we assume that the statistical uncertainties in the numbers of events are dominant.

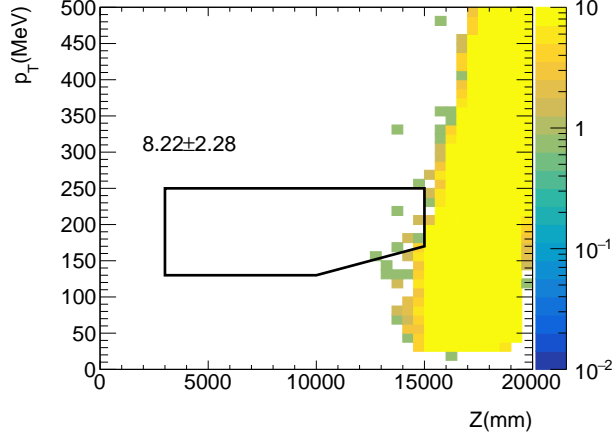


Figure 45: Distribution of background events from η production at the Charged Veto Counter in the $z_{\text{vtx}}-p_T$ plane for a running time of 3×10^7 s. All cuts other than the p_T and z_{vtx} cuts are applied.

Table 7: Summary of background estimates.

Background	Number	
$K_L \rightarrow \pi^0 \pi^0$	16.9	± 1.1
$K_L \rightarrow \pi^+ \pi^- \pi^0$	2.5	± 0.4
$K_L \rightarrow \pi^\pm e^\mp \nu$	0.08	± 0.0006
halo $K_L \rightarrow 2\gamma$	4.8	± 0.2
$K^\pm \rightarrow \pi^0 e^\pm \nu$	4.0	± 0.4
hadron cluster	3.0	± 0.5
π^0 at upstream	0.2	± 0.1
η at downstream	8.2	± 2.3
Total	40	± 2.7

The single event sensitivity is evaluated to be 8.5×10^{-13} . The expected number of background events is 40. With the SM branching fraction of 3×10^{-11} , 35 signal events are expected with a signal-to-background ratio (S/B) of 0.89.

- Observation at the level of 5.6σ is expected for the signal branching fraction 3×10^{-11} .
- The measurement would indicate new physics at the 90% confidence level (C.L.) if the new physics gives a 40% deviation on the BR from the SM prediction.
- The measurement corresponds to 12% measurement of the CP-violating CKM parameter η in the SM (The branching fraction is proportional to η^2).

Table 8: Summary of sensitivity and impact.

	Formula	Value
Signal (branching fraction : 3×10^{-11})	S	35.3 ± 0.4
Background	B	40 ± 2.7
Single event sensitivity	$(3 \times 10^{-11})/S$	8.5×10^{-13}
Signal-to-background ratio	S/B	0.89
Significance of the observation	S/\sqrt{B}	5.6σ
90%-C.L. excess / deficit	$1.64 \times \sqrt{S+B}$	14 events
	$1.64 \times \sqrt{S+B}/S$	40% of SM
Precision on branching fraction	$\sqrt{S+B}/S$	25%
Precision on CKM parameter η	$0.5 \times \sqrt{S+B}/S$	12%

* A running time of 3×10^7 s is assumed in the calculation.

7 Detector Feasibility Study

7.1 Angle measurement of photons

The $K_L \rightarrow \pi^0 \nu \bar{\nu}$ decay is defined with a π^0 reconstructed from the two photons in the calorimeter with an assumption that those are generated from a single π^0 decay on the beam axis. This method is not working correctly in the off-axis events. For example, when a K_L enters the detector far from the beam axis due to interaction with beamline materials and decays into two photons (halo $K_L \rightarrow 2\gamma$ decay), the reconstruction gives a wrong answer, and it could be a background event.

Figure 46 shows how to separate the halo $K_L \rightarrow 2\gamma$ decay from the $K_L \rightarrow \pi^0 \nu \bar{\nu}$ decay if we can measure the incident angle of photons in the calorimeter. The vertex of the halo K_L decay is obtained incorrectly, resulting in wrong incident angles of the photons (left plot). When we directly measure the incident angle of photons in the calorimeter, the decay will be rejected by comparing the calculated and measured angles (middle) without losing the signal acceptance (right). The simulation study implies the achievable angular resolution of 1.3 degrees for 1 GeV photons as shown in Fig. 47 (from the reference [48]), which enables us to reduce the background events by two orders of magnitude with a 2% of the signal loss.

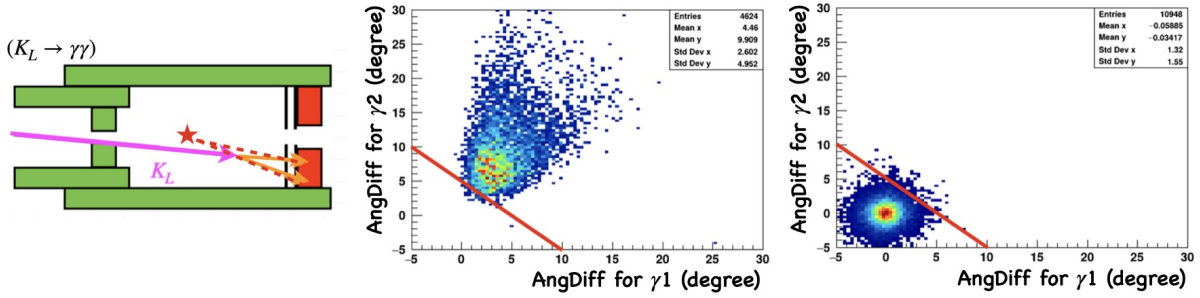


Figure 46: The *halo* $K_L \rightarrow \gamma\gamma$ decay is reconstructed incorrectly due to the off-axis decay position and heavier mass (left). The difference between angles directly measured in the calorimeter and calculated from the π^0 reconstruction is significant in the *halo* $K_L \rightarrow \gamma\gamma$ decay (middle), while the $K_L \rightarrow \pi^0 \nu \bar{\nu}$ decay shows good consistency (right).

The photon-angle measurement is performed by analyzing the shape of the electromagnetic shower produced in the calorimeter. The shower shape can be obtained by a calorimeter of thin and narrow modules alternatively aligned along the photon direction in horizontal and vertical directions. The distribution of energy deposits in each module represents the shower shape, and the incident angle is deduced by analyzing it with a machine learning package (XGBoost). Figure 47 shows a schematic drawing of the toy calorimeter used in the simulation studies, consisting of lead (1 mm) and plastic scintillator (5 mm) modules in a dimension of 500 mm \times 15 mm \times 6 mm(t) (right) and the expected angular resolution (left). When we focus only on the angle measurement, the detector does not need to be thick to contain whole shower particles for the energy resolution. Therefore, we place a finely segmented calorimeter of the five radiation lengths ($5X_0$), pre-shower detector, in front of the traditional calorimeter to simultaneously measure the angle and energy. This configuration provides an angular resolution similar to that of the entire simulation model setup, minimizing energy resolution degradation due to the sampling fluctuation.

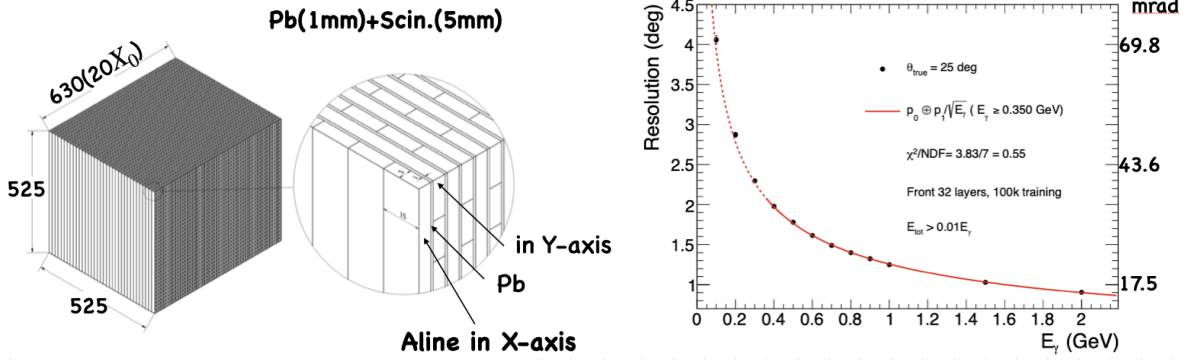


Figure 47: A toy model to study the feasibility of measuring photon-angle with finely segmented sampling calorimeter (left) and the expected angular resolution (right)[48].

To validate the simulation results, we fabricated a pre-shower detector with 24 layers, each with 16 modules alternatively aligned horizontally and vertically. The module consists of five layers of alternating 1 mm-square scintillating fibers and 0.15 mm-thick tungsten strips. Compared to the simulation model, its layers are thinner to reduce the sampling fluctuation, which is the main factor determining the energy resolution of the sampling calorimeter. The total number of modules is 384, and the detector has an effective cross-section of 239 mm \times 239 mm and a thickness of 167 mm, corresponding to 5.36 radiation lengths. The detector performance was tested with a positron beam produced by the 1.3 GeV electron synchrotron at the Research Center for Electron Photon Science (ELPH), Tohoku University. Figure 48 shows a photo of the detector installed in the positron beamline (left) and a snapshot of its analysis results. The incident angles are correctly reproduced for 0 and 20 degrees. Still, the data show a worse angular resolution of 30-40% compared to the expectation from the simulation, and a detailed analysis is underway to understand the reason.

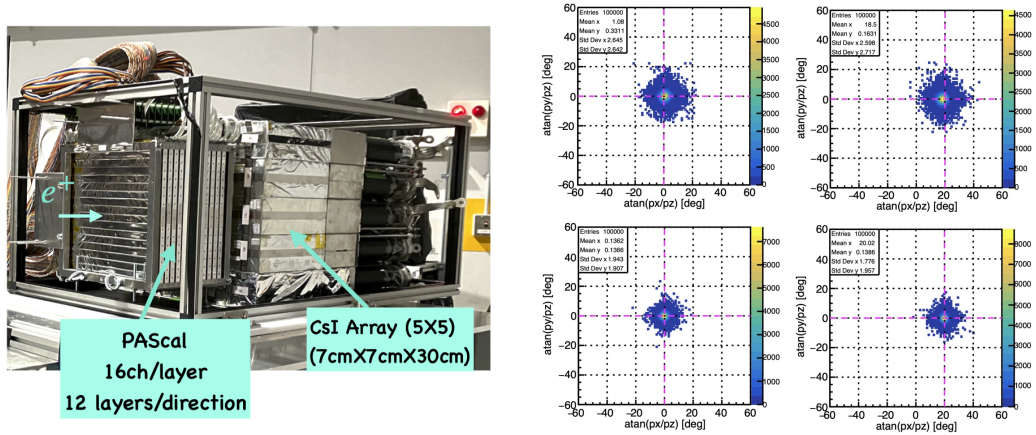


Figure 48: Left: A photo of the pre-shower detector(indicated as PAScal) installed in the positron beamline for its performance test. Behind the detector, a homogeneous calorimeter made of the CsI crystals is prepared to study the effect of the pre-shower on the energy resolution. Right: Reconstructed incident angle for 0 degrees (left) and 20 degrees (right). The angular resolution of the data (up) is worse than that of the simulation (down) as 30-40%.

The feasibility study above clarifies that a finely segmented sampling calorimeter can

measure a photon's angle. This enables us to provide a tool for further suppressing the background events caused by the off-axis decays. The detector needs many channels to analyze the shape of the shower, which requires large-scale fabrication costs. The detector configuration and fabrication method will be carefully optimized to ensure timely utilization in KOTO II.

7.2 Discussion on the calorimeter performance

In the background estimation, we assumed that the new calorimeter for the KOTO II experiment consisted of 50-cm-long CsI crystals the same as the KOTO experiment. However, the cost to make the whole new calorimeter of CsI crystals is high because the diameter of the new calorimeter is 3 m and the area is 2.2 times larger than that of the KOTO experiment. It is thus important to consider an alternative option which reduce the cost while keeping the signal and noise ratio. In this study, we evaluated the numbers of the $K_L \rightarrow \pi^0 \pi^0$ and $K_L \rightarrow \pi^+ \pi^- \pi^0$ background events by changing the energy resolution used in the MC simulation. We considered sampling calorimeters such as the calorimeters used in the KLOE experiment at DAΦNE, and the calorimeter designed for the KOPIO experiment at BNL. The basic parameters on those calorimeters are listed in Table 9. The results are shown in Table 10. We can keep the current background level by using one of the above-mentioned calorimeters in terms of energy and position resolutions. However, the photon detection inefficiency is worse in the sampling calorimeter, and the effect should be studied. The effect on other background events will be investigated in the future.

The new calorimeter is also required to distinguish photon clusters from hadron clusters to reduce the hadron cluster background events. In the KOTO experiment, the discrimination is achieved by using the information of cluster shapes, pulse shapes and the depth of the interaction. The depth of the interaction is estimated by using the timing difference between the photo sensors attached at the upstream and downstream surfaces of the crystals. The timing resolution is a key to get a better discrimination from the depth information. As shown in Table 9, sampling calorimeters can achieve the similar timing resolution to that of the calorimeter used in the KOTO experiment and thus same discrimination power. The discrimination power of a sampling calorimeter by using the information of cluster shapes and pulse shapes still need to be studied. We plan to make a prototype detector and evaluate the performance of the discrimination between photon clusters and neutron clusters with electron and neutron beams.

Table 9: Energy and timing resolutions of sampling calorimeters together with the technologies and the depth of the calorimeters. The performance of the CsI calorimeter used in KOTO is also shown as a reference. E is in GeV. The energy and timing resolutions of the calorimeters are referred from [49, 50, 51, 52].

Technology (Experiment)	Depth	Energy resolution	Timing resolution
CsI (KOTO)	$27X_0$	$2\%/\sqrt{E} \oplus 1\%$	$115 \text{ ps}/\sqrt{E} \oplus 5 \text{ ps}/E$ $\oplus 130 \text{ ps}$
Scintillator/Pb (KOPIO)	$16X_0$	$3\%/\sqrt{E}$	$90 \text{ ps}/\sqrt{E}$
Scintillator fiber/Pb spaghetti (KLOE)	$15X_0$	$5.7\%/\sqrt{E} \oplus 0.6\%$	$54 \text{ ps}/\sqrt{E} \oplus 140 \text{ ps}$

Table 10: The relative numbers of background events by changing the energy resolution assumed in the simulation. The numbers in the table are normalized by the numbers of expected background events with the default calorimeter consisting of CsI crystals.

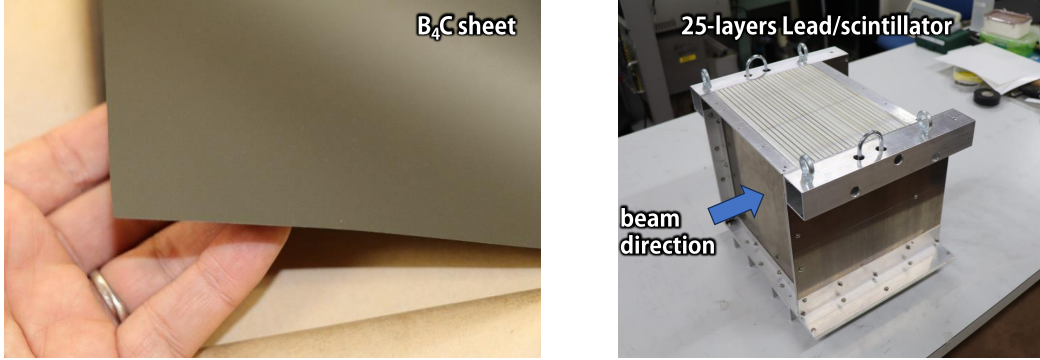


Figure 49: (left) A commercial B₄C sheet with 0.1 mm thickness. (right) A prototype detector for validation of the effect of B₄C Mylar sheet. A acrylic light guide and a 5 inch PMT is optically attached to the top of scintillator surface (not shown in the figure). By injecting a low-intensity π^- beam, we can observe delayed coincidence signals due to neutron capture reactions with protons in the scintillator. With B₄C Mylar sheets, these signals will disappear.

7.3 Thermal neutron blind calorimeter

In the KOTO experiment, we found that the pion-induced neutrons generated in the Barrel Veto counters could enhance the accidental rate due to low-energy γ 's originating from neutron capture reactions. A simulation study showed that approximately 50% of the counting rate of 5 MHz in the Front-Barrel Veto counter was caused by the thermal-neutron capture reactions [53]. In the KOTO II experiment, we plan to insert thin B₄C films into the sandwich layers of the Barrel Veto counter to suppress the accidental rate. Boron-10, which is contained in 20% of natural boron, has a thermal neutron capture cross section of 3840 b due to the $^{10}\text{B}(n,\alpha)^7\text{Li}^*$ reaction, so it can absorb thermal neutrons before they are captured by protons thorough the $^1\text{H}(n,\gamma)^2\text{H}$ reaction, which emits a 2.2 MeV of γ . Figure 49 shows a photo of a commercially available 0.1 mm thick B₄C Mylar sheet. By putting the sheet between the lead and reflector of a Barrel Veto detector, accidental counts caused by thermal neutrons can be reduced.

To validate the effect of the B₄C Mylar insertion, we fabricated a prototype detector consisting of 25 layers of 1-mm-thick lead converter and 5-mm-thick scintillator plates (Fig. 49). The detector has a size of $25 \times 25 \text{ cm}^2$ in the area and 16.7 cm in the length. We plan to irradiate a 1-GeV π^- beam generated from the K1.8 beamline at J-PARC. We measure delayed coincidence signals coming from thermal neutrons with and without B₄C Mylar. With this experiment we can confirm the existence of captured γ -rays caused by pion-induced thermal neutrons and the elimination of them with B₄C Mylar.

7.4 Beam hole photon veto counter

A beam-hole photon-veto (BHPV) counter detects a photon from the $K_L \rightarrow \pi^0\pi^0$ decay in the neutral beam, and reduces the background. To operate it in the neutral beam, it should be less sensitive to neutrons, and photons with energies lower than those from the $K_L \rightarrow \pi^0\pi^0$ decay. In this section, we discuss the design and rate of the beam-hole photon-veto counter.

7.4.1 The design of beam hole photon veto counter

The beam-hole photon-veto counter consists of an array of a module with a lead photon-converter and aerogel Cherenkov radiators. The module structure which is the same as that in KOTO is shown in Fig. 50. A high-energy photon is converted to e^+ and e^- , and these generate Cherenkov light in the aerogel radiator. The Cherenkov light is guided by mirrors to a PMT 5-inch in diameter. The Cherenkov light is generated less for slow particles such as protons or charged pions from the hadronic interaction of beam neutrons. Several modules are lined up along the beam and three-consecutive coincident hits in the modules are required, which keep high efficiency against high energy photons while causing low energy photons and neutrons to be less sensitive. This is because electromagnetic showers of high energy photons develop in the forward direction while hadronic showers develop isotropically and electro-magnetic showers of low energy photons cannot develop in three or more modules.

The configuration of the beam-hole photon-veto counter in KOTO II is shown in Fig 51. The thickness of lead and aerogel sheets is changed according to the location of the module to balance the hit rates among the modules. The total thickness of the lead sheets is 54 mm, which corresponds to $9.6 X_0$, to keep the photon punch-through inefficiency to be less than 10^{-3} .

We evaluated the inefficiency of the beam-hole photon-veto counter against photons as a function of the incident energy by using a full-shower simulation as already shown in Fig. 23. We can achieve 10^{-3} inefficiency for 2000 MeV photons with a threshold of 5.5 p.e. which is considered as the default threshold.

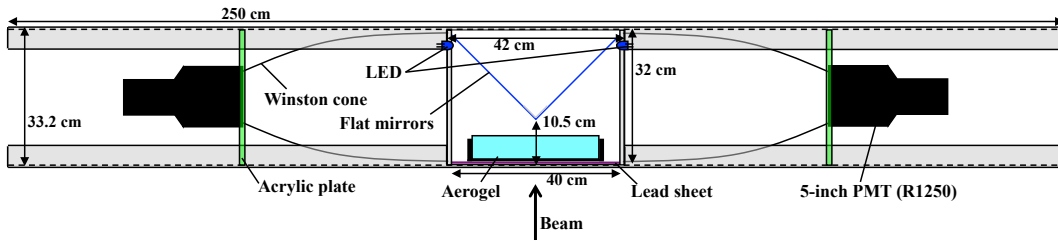


Figure 50: Structure of a module for the beam-hole photon-veto counter in KOTO step-1 [46].

7.4.2 Expected counting rate and accidental loss in KOTO step-2

A single module is sensitive to 1-MeV photons. The incident rate of photons is 0.75 GHz for the energy larger than 1 MeV. If the detection efficiency is $\sim 5\%$, the hit rate is $O(10)$ MHz.

We injected all the particles collected in the beam-line simulation to the beam-hole photon-veto counter with 25 modules. A full shower simulation and optical-photon

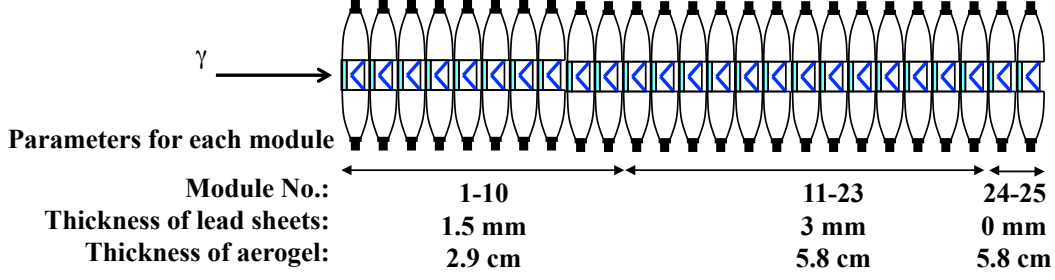


Figure 51: Configurations of the modules of the beam-hole photon-veto counter.

tracking to the PMTs were performed, and the observed number of photoelectrons was recorded. For the module hit, “OR” of the PMTs on both sides is used for a certain photoelectron (p.e.) threshold. Consecutive three-module coincidence with a certain p.e. threshold (counter rate) was also calculated. Those module hit-rate and the consecutive three-module-coincidence rate (counter rate) are already shown in Fig. 31 for the 5.5-p.e. threshold as a base design. Those for the 0.5-p.e. threshold are shown in Fig. 52. Average module hit-rate in 2-sec spill is more than 30 MHz. The counter rate at the default threshold is 35.2 MHz. The resultant accidental loss is 19% with the 6-ns veto window, which correspond to $\pm 5\sigma$ of the timing resolution of 0.59 ns. It was achieved with the beam-hole photon-veto counter at KOTO by requiring the three-module coincidence with the 2.5-p.e. threshold [46].

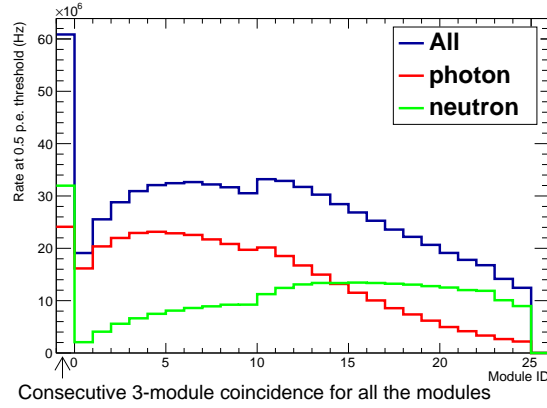


Figure 52: Hit rate of each module and counter (consecutive three-module coincidence) are shown with 0.5-p.e.-threshold. The first bin of the histogram corresponds to the counter rate.

7.4.3 PMT operation and expected waveforms

We evaluated the average PMT-anode current in Fig. 53(a) based on the p.e. yields from the full simulation with the particles in the beam (Module ID 10 for example in Fig. 53(b)). A 10^7 gain of the PMT is assumed. In more than half of PMTs, the anode currents exceed the maximum rating (0.2 mA) of the current PMT used in KOTO. We may use a pre-amplifier to reduce the gain of the PMT and keep the single-p.e.sensitivity.

Expected waveforms after summing up the PMTs on both sides are shown in Fig. 54. In each panel, 10 waveforms are overlaid. The waveforms do overlap, but peaks can

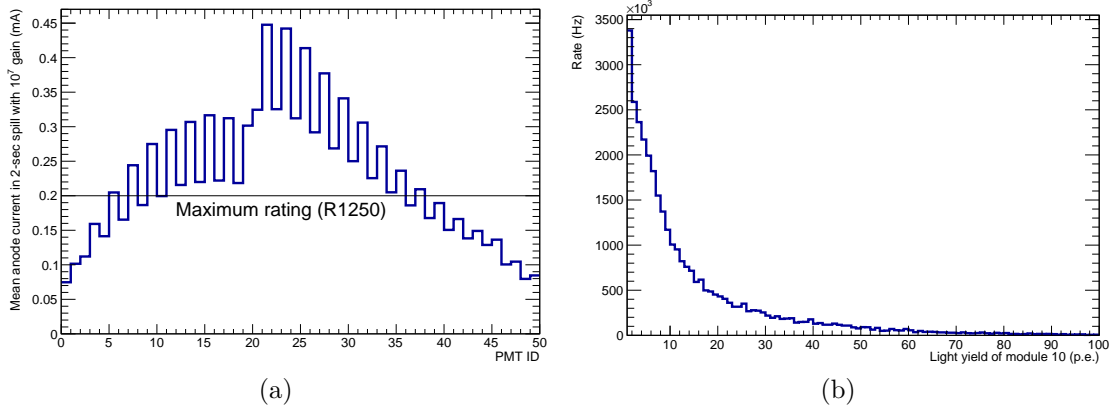


Figure 53: (a) Expected average anode-current for each PMT with the gain of 10^7 . (b) Distribution of number of p.e. for a module (ID:10).

still be separated thus photons can be vetoed. Thus, we can still operate the beam-hole photon-veto counter in KOTO II despite the harsh environment.

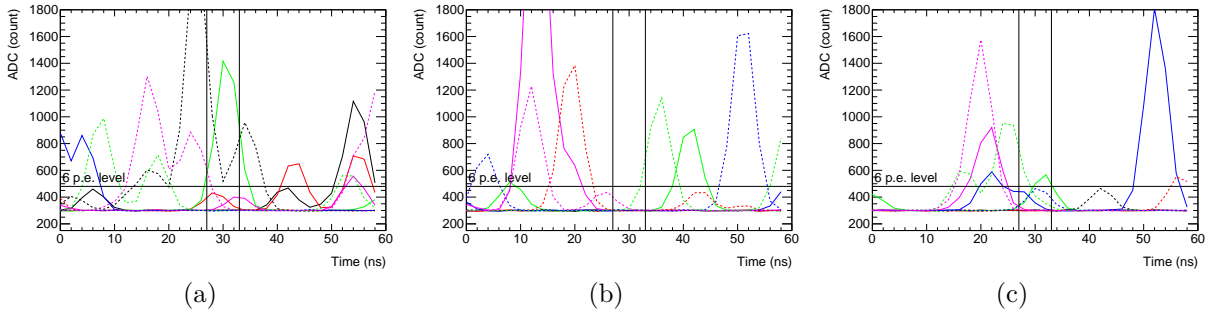


Figure 54: Ten expected waveforms are overlaid in each panel. Two vertical lines indicate the 6-ns veto window. A horizontal line shows 6-p.e.-level pulse height.

7.4.4 Alternative design based on fast crystals

The lead-aerogel Cherenkov design for the BHPV has proven to be successful in KOTO. However, for high-intensity operation at KOTO II, it would be desirable to reduce the losses because of accidental vetoes from beam photons and neutrons. The principal limitation of the existing BHPV design is the time resolution of ~ 600 ps, which necessitates a veto window of 6 ns. This in turn induces a 19% loss of effective K_L flux (Table 5).

An alternative design that could be considered for use at KOTO II is a compact crystal calorimeter with high-granularity transverse and longitudinal segmentation, along the lines of the small-angle calorimeter (SAC) proposed for the HIKE experiment [54]. The conceptual design of the HIKE SAC is closely related to that for CRILIN, an independently proposed concept for calorimetry at a future muon collider [55]. Much R&D work for the HIKE SAC and CRILIN projects has been carried out in common [56, 57, 58].

The proposed design for the HIKE SAC featured 4 layers of 10×10 ultrafast lead tungstate (PWO-UF) crystals, each $20 \times 20 \times 40$ mm³ in dimension. PWO-UF is a recently developed formulation of lead tungstate with a decay time constant of 640 ps, good light yield, and high radiation tolerance [59]. Because of the high density of lead tungstate,

3 layers of crystals provide a depth of $13.5X_0$. This corresponds to only 0.6 nuclear interaction lengths, so about 55% of beam neutrons will not interact in the SAC at all, and, based on extrapolations from HIKE, no more than 10-20% of beam neutrons will leave signals in excess of the 100 MeV threshold for photon showers. The high granularity of the SAC (both transverse and longitudinal) provides abundant information for n/γ discrimination offline, so that neutron interactions would not be expected to contribute significantly to the accidental veto rate.

Single PWO-UF $10 \times 10 \times 40$ mm² crystals for this prototype coupled to silicon photomultipliers were tested with high-energy electrons at the CERN SPS in 2022 and 2023 and compared with lead fluoride (PbF₂) crystals [56]. A two-layer, 3×3 crystal prototype with PbF₂ crystals and silicon photomultiplier readout was tested with 450 MeV electrons at the Frascati BTF in 2023 [57]. A photoelectron yield of $\sim 0.6/\text{MeV}$ was obtained with PWO-UF; extrapolating the results to the case of 100 MeV electrons, a time resolution of about 150 ps is expected. This is of the same order as the resolution for the determination of the event time from the KOTO II calorimeter, which opens the possibility of reducing the BHPV veto window to as low as 2.5 ns and cutting expected accidental veto losses from 19% to 8%.

PWO-UF crystals were exposed to γ -rays from ⁶⁰Co at the ENEA Calliope facility in 2023 [57] and 2024 [60]: no significant degradation in transparency from ionizing doses up to 2100 kGy was observed.

Simulation studies to adapt the design to KOTO II are underway, and a new prototype is under construction with full size crystals and readout with Hamamatsu R9880 photomultiplier tubes, which are expected to confer better radiation robustness and faster time resolution than silicon photomultipliers.

7.5 Beam Hole Charge Veto

The forward charged-particle veto in KOTO II sits in the beam, in the region downstream of the main calorimeter, and should veto charged particles that would otherwise escape and create a potential background to $K_L \rightarrow \pi^0 \nu \nu$ analysis. This veto is followed by a neutral-particle veto (see previous section) with an analogous role. However, the material budget should be kept low to reduce hits from photons and neutrons in the neutral veto.

In KOTO, the Beam Hole Charge Veto is a Thin-Gap Chamber of dimensions 30×30 cm² operating in air, with 3 layers used with a “2-out-of-3” logic giving 99.5% efficiency for the veto. A layer has a 2.8 mm thick gas volume and two 50- μm thick Kapton cathode foils coated with graphite, equivalent to about 0.03 % X_0 . The granularity is 2×30 cm. The veto has a time jitter of about 20 ns and is used with a time window of 30 ns, which results in an 8.3% random veto.

In KOTO II, the default design could be replaced by a silicon pixel detector comprising 2 planes of 200 μm thickness each. The level of radiation in that location is 10^{13} 1 MeV neutron-equivalent per cm², which is a modest exposure for modern silicon detectors. In the KOTO II charged-particle veto, a charged particle hit rate of 0.2 MHz is expected (and a hit rate of 40 MHz in total including photons and neutrons): such a rate is compatible with various existing technological solutions. The geometrical configuration can be made to ensure a high detection efficiency ($> 99.5\%$). An improved time resolution of 1 ns or better is also achievable, allowing the time window to be narrowed to at most 5 ns and therefore reducing the random veto. Preliminary simulation studies validate this solution showing that the random veto (signal loss) will be at most less than 4%.

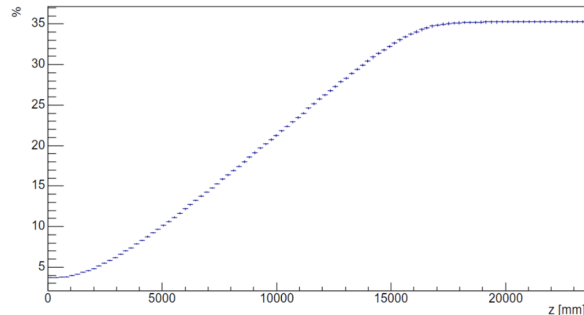


Figure 55: Estimated acceptance for $K_L \rightarrow \pi^0 e^+ e^-$ decays in percent versus barrel instrumentation ($z = 0$ is at the surface of the main calorimeter).

Other technological silicon options can be considered. Thinner silicon sensors ($50\text{ }\mu\text{m}$ thickness corresponding to $0.054\% X_0$) such as Monolithic Active Pixel Sensors (MAPS) [61, 62] could be used to reduce the amount of material. For faster timing, Low-Gain Avalanche Diode (LGAD) sensors could be considered [63, 64]. Both types of sensors are already in use elsewhere or under a mature stage of R&D.

7.6 Additional tracking in the forward region

While the default KOTO II setup, as described in this document, is largely optimized for detection of neutral particles, it is possible to envisage additional detectors, particularly with the aim of detecting charged particles.

These additional detectors would help to increase the sensitivity for $K_L \rightarrow \pi^0 \ell^+ \ell^-$ decays, and more generally for K_L decays with charged particle tracks. Preliminary studies show that the acceptance for electron tracks from $K_L \rightarrow \pi^0 e^+ e^-$ decays would be significantly enhanced, from about 5% to a maximum of about 35% in presence of tracking that covers the forward region in front of the calorimeter and the last 16 m of the barrel region (Figure 55). The signal acceptance already includes kinematical cuts against the Greenlee background and the condition $m_{ee} > 140\text{ MeV}/c^2$, which together account for a reduction from 100% to 55%; a further 10% is lost in the forward beam hole region.

Two possible approaches for the detector setup in the forward region can be considered.

The first approach implies that additional detectors can satisfy the stringent constraints on extra material in front of the calorimetry system dictated by the $K_L \rightarrow \pi^0 \nu \bar{\nu}$ analysis, while maintaining their advantage for charged particles; in this approach, data can be taken simultaneously for all the K_L decays of interest. In this case, the functionality of the Charged Veto Counter and of the forward-region tracking could be combined, altogether consisting of two planes of 3 m diameter located just upstream of the main calorimeter. The material thickness must be kept very low in this case (in KOTO, the thickness of the Charged Veto Counter is 3 mm or $1\% X_0$, with a granularity of 7 cm and a time resolution of 2 ns).

The second approach involves dividing the data taking into two separate stages, dedicated to $\pi^0 \nu \bar{\nu}$ and $\pi^0 \ell^+ \ell^-$ respectively. In this case, the requirements for the sub-detectors that serve only one stage can be neatly separated and optimized differently, while the sub-detectors serving both stages would still be optimized simultaneously and remain the

same.

Studies are on-going to establish the optimal approach that maximize the physics return and minimizes cost. Possible technical solutions able to provide sufficient time resolution, efficiency and granularity include: a light-material tracking device in vacuum, based on an evolution from the Straw Tracker in the NA62 experiment at CERN [65, 54]; and a hybrid tracking system like the Mighty Tracker from the LHCb Upgrade2 experiment at CERN, comprising silicon pixels in the inner region and scintillating fibers in the outer region [66]. The former would have the advantage of reducing the material budget to $0.5X_0$ or less, while the latter would allow for a time resolution of the order of hundred(s) ps.

7.7 Central Barrel Counter with Tracking

The design of the Central Barrel Counter is based on the experience with the KOTO experiment and is planned to be a lead-scintillator sandwich calorimeter with 1 mm thick lead plates interleaved with 5 mm thick scintillator plates. As shown above (Sec. 5.4.3), such a design is sufficient for vetoing photons down to energies of 1 MeV, which is necessary for the $K_L \rightarrow \pi^0 \nu \bar{\nu}$ measurement.

However, with little effort, parts of the Central Barrel Counter could be used as a simple tracking device to be able to extend the acceptance for $K_L \rightarrow \pi^0 \ell^+ \ell^-$ and in particular $K_L \rightarrow \pi^0 e^+ e^-$. Mostly, the $K_L \rightarrow \pi^0 e^+ e^-$ decay products are strongly pointing into the forward direction, but, since this is a four-body decay, the acceptance would considerably increase when using the final part of the Barrel Counter in addition to the main calorimeter in the end cap (see Sec. 7.6). In considering the Barrel Counter for use in electron and photon detection and tracking, it should first be noted that in its planned design the Barrel Counter will have an excellent energy resolution with a stochastic term of $< 10\%/\sqrt{E} [\text{GeV}]$. Second, for use as a tracking device, the end part of the Barrel Counter can be finely segmented in scintillating strips of 1 cm width, both in the z and φ directions, to obtain an angular resolution of 20 mrad or better on electron and photon showers [67]. Alternatively, layers of scintillating fibers (SciFi) could be used to measure shower directions with high precision. Finally, a rough distinction between electron and photon showers is achieved using the first scintillating layers, where electrons leave MIP signals but photons (with energies of O(GeV)) only convert with a 10% probability.

7.8 In-beam Upstream Charged Veto

The charged kaon background typically occurs when a charged kaon from the beam line undergoes $K^\pm \rightarrow \pi^0 e^\pm \nu$ decay, and the e^\pm goes undetected. The number of such background events can be reduced to be 4.8 with two magnets in the beam line and the efficient detection of the e^\pm . Therefore, charged particle detectors line the inside of the Barrel Counters in the baseline design.

This background can be further suppressed if charged kaons at the entrance of the KOTO II detector can be detected. This requires a charged-veto counter in the beam that is thin enough to minimize interactions with neutral particles (photons, neutrons, and K_L s). An upstream charged veto counter (UCV) shown in Fig. 56 was installed in the KOTO detector for this purpose.

The first version (Fig. 56 (a)) consists of 0.5-mm square scintillating fibers. The fiber plane is tilted to reduce the gaps in the sensitive area from the fiber cladding.

The second version (Fig. 56 (b)) is thinner and consists of a 0.2-mm thick scintillator film surrounded by a reflector of 12 μm thick aluminized mylar. The scintillation light escaping out from the surface of the scintillation film is collected with the aluminized reflector and directed to PMTs. The obtained light yield is 18.5 p.e. for minimum ionizing particles (MIPs) and the detection efficiency is 99.9% with a threshold corresponding to 0.4 MIP.

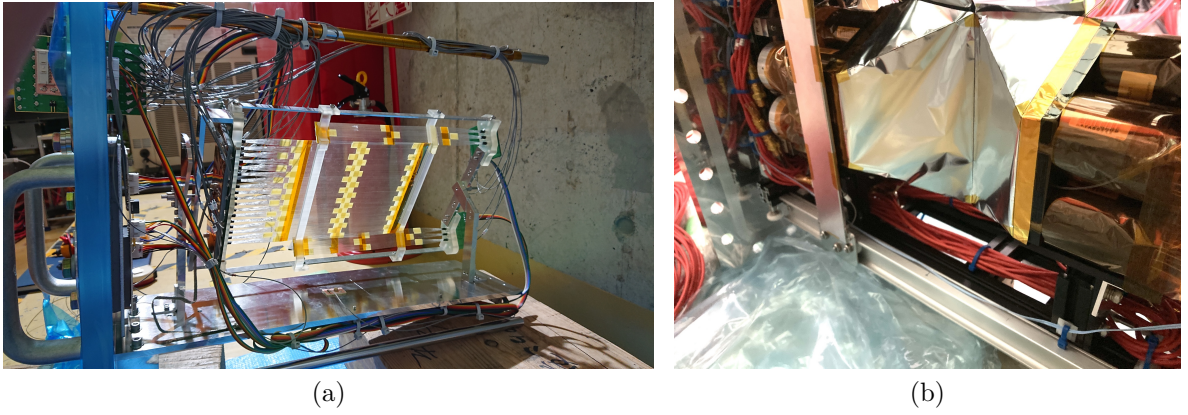


Figure 56: Upstream Charged Veto (UCV) in KOTO. (a) First version with 0.5-mm-square scintillating fibers. (b) Second version with 0.2-mm-thick scintillator film surrounded by a 12 μm -thick aluminized mylar foil reflector.

7.9 Design of vacuum tank

The vacuum tank with a structure to hold the Barrel Counter is under design with an engineer team. The current version is shown in Fig. 57. The thickness of the wall, reinforcement on the wall, and a system to hold the modules are studied by considering the mechanical strength and stability. The vacuum tank should be moved in z direction to open the tank in the installation and maintenance. The shape and the arrangement of the Barrel Counter modules should be designed to have no gap for the detection of photons. The module shape and its support system are also related to the installation scenario. Such a module shape, an installation scenario, and a maintenance scenario will be studied more.

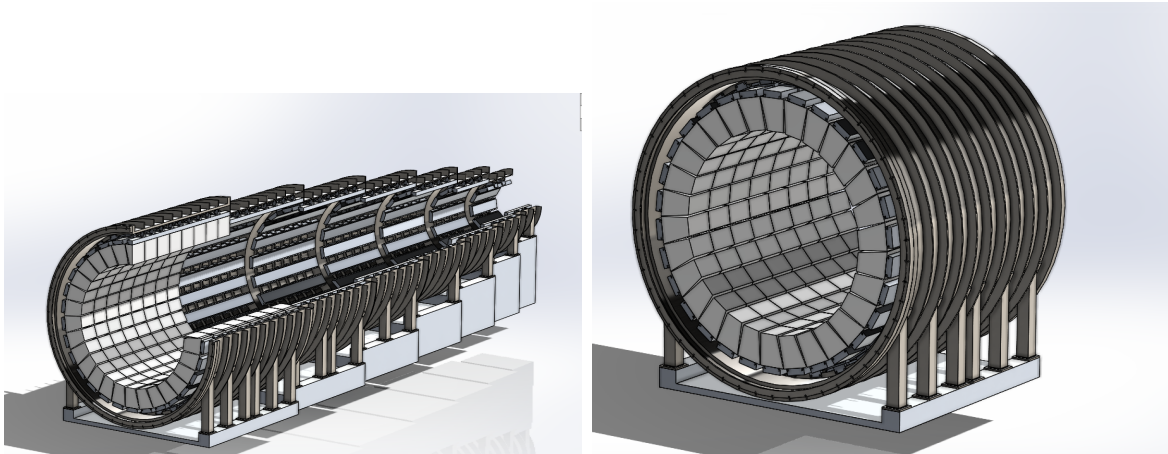


Figure 57: Vacuum tank under design.

8 Trigger and DAQ

The KOTO II DAQ system design is based on the KOTO experience: The analog inputs are continuously digitized and pipelined in the on-chip memory, regardless of the triggers. The size of the memory governs the depth of the pipeline and, in turn, determines the time allowed for the trigger evaluation. The major advantages of such a design are the following: The trigger evaluation is more accurate because the calculation is based on the digitized data. The baseline fluctuation is smaller due to the elimination of long delay cables.

8.1 Digitizer

The 14-bit 500-MHz homemade waveform digitizers, as shown in Figure 58, will be utilized to collect the signals from all detector components. In KOTO, in order to measure the timing with a 125-MHz digitizer, a pulse shaper was introduced to slow down the rising edge. The 500-MHz digitizers do not include the shaper and the waveforms are therefore narrower. Figure 59 demonstrates the pulse shape comparison, and Figure 60 shows the quantitative improvement from a 125-MHz digitizer to a 500-MHz one. No large timing deviation due to an overlapped pulse is expected.

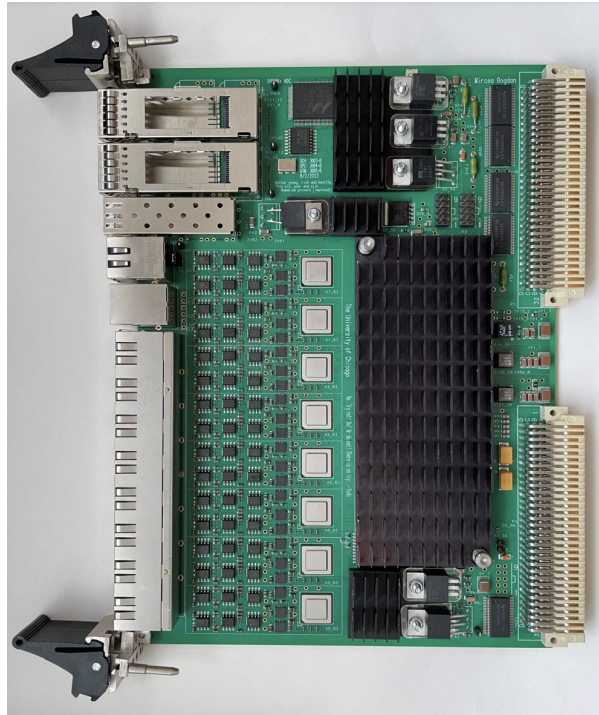


Figure 58: Prototype of a 14-bit 500-MHz digitizer for the KOTO II experiment.

This digitizer is also equipped with high-speed optical links, which are primarily used for trigger processing. Compared with KOTO digitizers, the speed is improved by a factor of 8. This feature is expected to completely release the $0.16\ \mu\text{s}$ system dead time for online clustering, and capable of collecting more data at the front-end.

The file size of a KOTO II event is estimated to be 0.2 MB, inferred from the following assumptions: The number of readout channels is estimated to be 8000, which is doubled of the KOTO. The number of samples recorded toward a trigger is 128 (256-ns window),

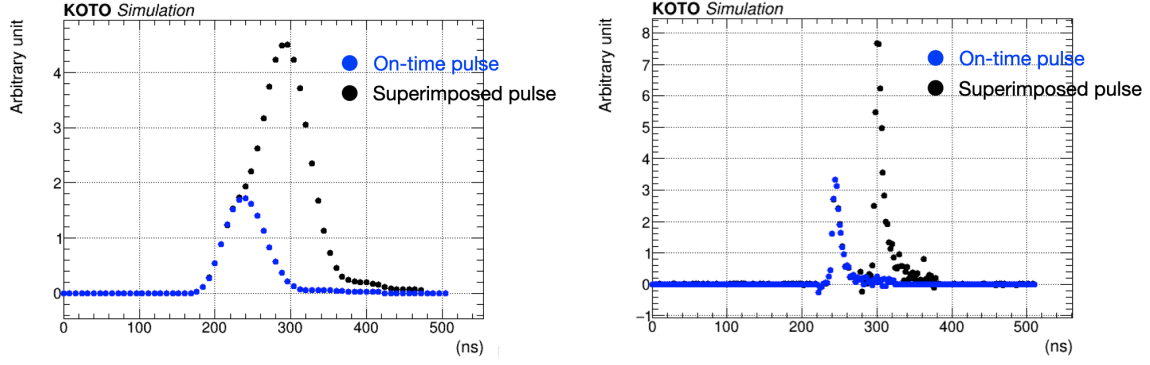


Figure 59: Pulse shape demonstration of a 125-MHz digitizer for KOTO (left plot) and a 500-MHz digitizer for KOTO II (right plot).

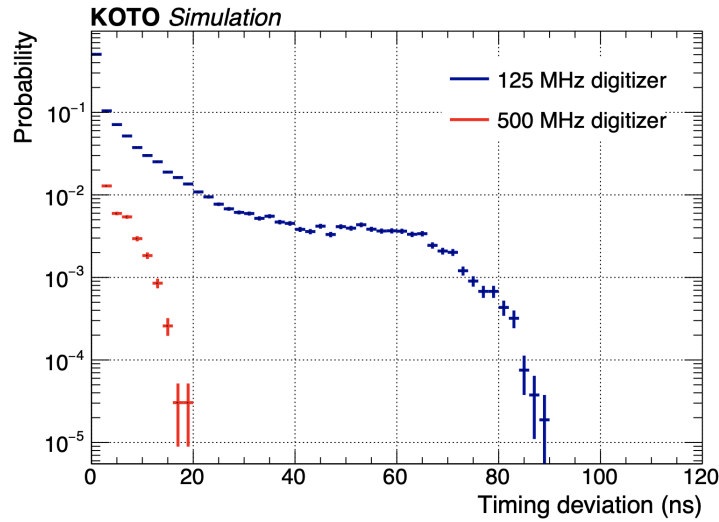


Figure 60: Timing deviation due to an overlapped pulse versus the probability. This is modeled based on the energy and timing distributions of both on-time veto hits and accidental hits from data.

which is half of the KOTO. The file size can be compressed by a factor of 10 if an aggressive noise suppression algorithm is applied to the KOTO II data.

If a normal KOTO II operation generates the data rate of 0.2 PB/(month = 25 days), the final trigger rate of 2 k/(spill = 4 sec) has to be managed. A multi-stage trigger is considered to reduce the rate.

8.2 Trigger and Data Transfer

Figure 61 shows the architecture of the KOTO II DAQ system. All the signals from the KOTO II detector are connected to the homemade ADC boards. After the digitization, the energy and timing of each readout channel are calculated at the on-board FPGA and sent to the trigger calculator via optical links. The trigger manager collects all the trigger calculation results and perform the trigger decisions.

The PC farm receives the packages from ADC boards and builds events. A high-level trigger can be subsequently evaluated with complete events. Events are then compressed,

buffered in the temporary storage, and sent to the computing center for a long-term storage.

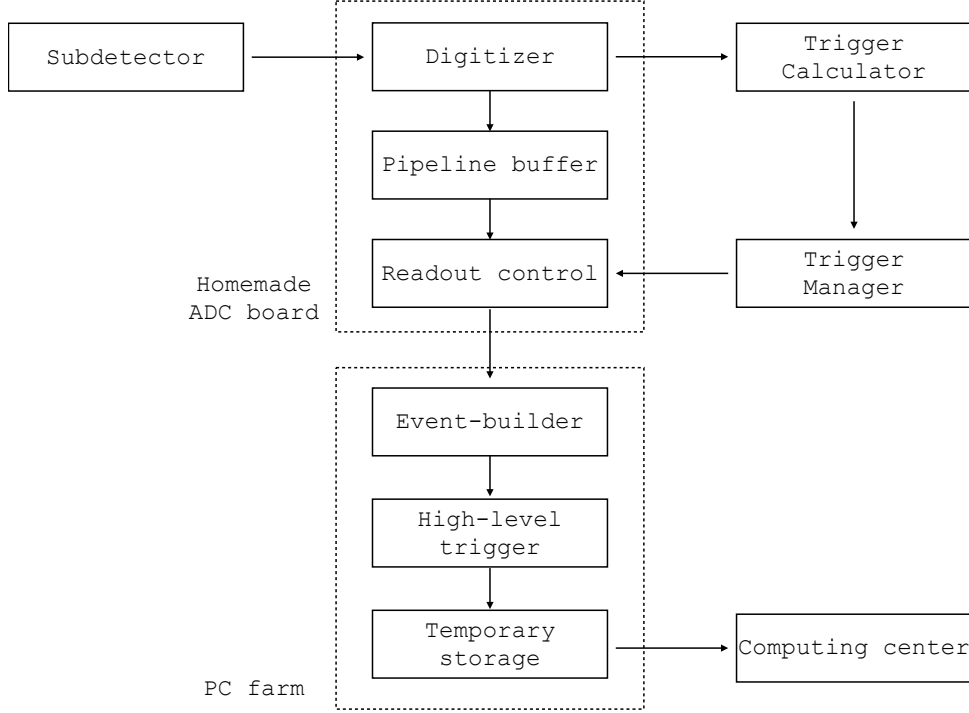


Figure 61: Schematics of the KOTO II DAQ system.

The trigger system is categorized into two parts: electronics-based triggers and PC-based triggers.

- **Electronics-based Trigger.** The level-1 trigger is determined based on the energy sum of the calorimeter (total energy, ET), the number of hits at each veto counter, the number of clusters in the calorimeter, and the distance between the center of energy and the beam axis (RCOE). These variables are evaluated in parallel every system clock of 8 ns. A $K_L^0 \rightarrow \pi^0 \nu \bar{\nu}$ candidate event should have ET larger than a certain threshold, absence of hits in veto counters, two clusters in the calorimeter, and large RCOE. The target is to reduce the rate at few tens kHz at this stage.

The level-2 trigger is processed after the level-1 trigger is determined. The cluster position, cluster distance, or cluster energy are the candidates to be calculated at this stage. Alternatively, the FPGA-based AI triggers may be performed. The target is to further reduce the rate at 10 kHz at this stage.

- **PC-based Trigger.** The high-level trigger is processed after the data received by the PC farm. Besides the event-building and the data compression, a more sophisticated trigger can be processed, including the selection based on the reconstructed kinematic variables, the cluster shapes in the calorimeter, etc. The target of the final trigger rate is few kHz. Eventually, the noises will be highly suppressed in the data to reduce the file size and reduce the burden of the data transfer between the PC farm and the computing center.

9 Cost

9.1 Detector cost

The 3-m diameter KOTO II calorimeter requires new undoped CsI crystals in addition to the existing ones in KOTO. The necessary number of new modules is 1456 if the cell cross-section is $5\text{ cm} \times 5\text{ cm}$. A new detector and a new vacuum tank are needed for the longer Barrel Counter. For the Collar Counters and Beam Hole Photon Veto, the existing counters in KOTO may be used with some modifications or with the addition of more modules. For the baseline design, with undoped CsI crystals for the whole calorimeter, the cost of the KOTO II detector is evaluated to be 23.4 M\$, as shown in Table. 11 ⁴.

Table 11: Cost table for the detector base design.

Detector	Sum (M\$)	Breakdown	Numbers	Cost (M\$)
Endcap	10.1	Crystals	1456	8.65
		Outer veto	92	0.92
		Additional PMTs	244+1456+92	0.90
		HV supply	244+1456+92	0.34
		MPPC	10944	0.43
		PreAmp	4416	0.03
Charged Veto	0.07	Scintillator		0.023
		MPPC		0.031
		Support		0.014
Barrel Veto	7.7	Lead	135 layers \times 688 modules	0.26
		Scintillator	135 layers \times 688 modules	1.94
		WLS fiber	1700-4000 fibers/module	3.77
		Fabrication		1.0
		Module assembly		0.05
		PMT	688 \times 2	0.49
		Labor		0.21
Beam Hole		Lead	22	
Photon Veto	0.029	Aerogel	15 cm \times 15 cm \times 3 cm: 72 tiles	0.027
		Frame	9 modules	0.002
		PMT etc	existing	0
Beam Hole				
Charged Veto	0.011		3 modules	0.011
Others	0.1	Reuse / Fabrication		0.1
Vacuum tank	3			3
Readout	2.4	ADC	9200 channels	2.3
		Trigger	40 modules	0.13
Sum	23.4			

We are considering several alternative options, upgrades or additional functionalities, as discussed in Section 7. The cost of shashlyk counters $5\text{ cm} \times 5\text{ cm}$ in cross section with 500 layers of 0.275-mm thick lead and 1.5-mm thick plastic-scintillator plates ($26.4 X_0$) is

⁴The US Dollar-Yen rate used here is $\$1 = 140\text{ Yen}$.

considered instead of the additional crystals in the calorimeter. The cost of the additional $5X_0$ layers of pre-shower counters for the photon angle measurement is also considered. The cost of the Beam Hole Photon Veto (BHPV) design with 450 ultrafast lead tungstate (PWO-UF) crystals of dimension $20 \times 20 \times 40 \text{ mm}^3$ is considered. The cost of the Beam Hole Charged Veto (BHCV) with two layers of 200 μm thick silicon of area $25 \text{ cm} \times 25 \text{ cm}$ is considered. These cost scenarios are shown in Table. 12. The option with shashlyk counters for the additional calorimeter region reduces the cost to 14.2 M\$.

The cost for the additional tracking and other possible modifications to the barrel region for $K_L \rightarrow \pi^0 \ell^+ \ell^-$ will be considered later, and detectors can be prepared in the earlier phase of KOTO II.

Table 12: Cost for alternative design or additional function.

Detector	Baseline design	Cost (M\$)	Alternative design/ Additional function	Cost (M\$)
Endcap	undoped CsI crystals	10.1	Shashlyk counters	0.92
			Additional photon-angle pre-shower counter	4.1
BHPV	Lead/Aerogel	0.029	Lead tungstate crystal	0.68
BHCV	Gas wire chamber	0.011	Semiconductor	0.30

9.2 Beam line

The cost for the beam line is shown in Table. 13. The existing one magnet, one power supply, and one beam plug are reused.

Table 13: Cost table for the beam line

Component	Cost (M\$)
Magnet	0.7
Power supply	0.2
Collimator	0.79
Absorber	0.05
Beam plug	0.11
Vacuum	0.5
Shield	2
Others	0.58
Sum	4.93

10 Schedule

Given that it may take time to prepare the KOTO II beam line in the Hadron Experimental Facility at J-PARC even after the start of beam line construction, we will continue the detector preparation until the entire beam-delivery system is complete.

The schedule of the KOTO II experiment is considered as shown in Table. 14 after the KOTO II beam line is ready (defined as Year 1). Physics data taking is assumed

with two phases: Phase I for $K_L \rightarrow \pi^0 \nu \bar{\nu}$ and Phase II for $K_L \rightarrow \pi^0 \ell^+ \ell^-$ with more a optimized setup for the latter decay.

We will perform a beam line survey in Year 1 when the beam line is ready. A partial detector in terms of the physics run and a dedicated setup for the measurement of the beam properties can be used. In the next year, the KOTO II detector will be installed for the first physics run. In the following years, the physics data taking of Phase I will take place with the measurement of the $K_L \rightarrow \pi^0 \nu \bar{\nu}$ branching ratio as the main target. It will take 5 years to achieve the goal of reaching a single event sensitivity of 8.5×10^{-13} for $K_L \rightarrow \pi^0 \nu \bar{\nu}$ under the assumptions of 3×10^7 s of running time, i.e., 4 months/year, 20 days/month, and using 90% of the beam for physics runs.

In Phase II, the branching ratio for the decay $K_L \rightarrow \pi^0 \ell^+ \ell^-$ will be measured. To improve the sensitivity in this channel, after Phase I, the detector will be modified to optimize its measurement.

Table 14: Schedule of the KOTO II experiment. Beam delivery starts from Year 1. A running time of 4 months/year and 20 days/month, with 90% of the beam used for physics runs are assumed.

Year	Main object
1	Beam line survey
2	Construction of the rest of the detector
3-6	Phase I: Physics run for mainly $K_L \rightarrow \pi^0 \nu \bar{\nu}$
7	Single event sensitivity will reach 8.5×10^{-13} for the $K_L \rightarrow \pi^0 \nu \bar{\nu}$ search
8	Detector upgrade
9-12	Phase II: Physics run mainly for $K_L \rightarrow \pi^0 \ell^+ \ell^-$ with an optimized setup
13	End of Phase II

11 Conclusion

Measurement of the CP-violating K_L ultra-rare decay mode $K_L \rightarrow \pi^0 \nu \bar{\nu}$ plays an important role in the study of flavor physics. It is one of the best probes for physics beyond the Standard Model. Following the ongoing search by the KOTO experiment, which will reach a sensitivity of better than 10^{-10} in 3–4 years, the KOTO II experiment, designed to observe several tens of $K_L \rightarrow \pi^0 \nu \bar{\nu}$ signal events, is proposed. To achieve more than 100 times better sensitivity than the current KOTO experiment, a new beam line with a production angle of 5 degrees is needed.

We have evaluated the experimental sensitivity and the background level with a modeled beam line and detector. With a total of 6.3×10^{20} protons on target, which is equivalent to 3×10^7 seconds of running with a proton beam power of 100 kW, observation of 35 events is expected for the $K_L \rightarrow \pi^0 \nu \bar{\nu}$ decay with the SM value of the branching ratio (3×10^{-11}). The corresponding single event sensitivity is 8.5×10^{-13} . The estimated number of background events is 40, which corresponds to a signal-to-background ratio of 0.89. The significance of the observation for SM events is expected to be 5.6σ . The measurement would indicate new physics at the 90% confidence level if the new physics gives a 40% deviation of the BR from the SM prediction.

Relative to the existing KOTO detector, the KOTO II detector will have significantly enhanced capabilities for charged-particle tracking, allowing the KOTO II physics program to be optimized for the measurement of the $K_L \rightarrow \pi^0 \ell^+ \ell^-$ branching ratios in a second phase of running, after the measurement of $K_L \rightarrow \pi^0 \nu \bar{\nu}$ is complete. This expansion of the physics program will allow KOTO II to obtain complete information on FCNC decays in the kaon sector, enhancing the potential for the discovery and understanding of any new physics present. All phases of KOTO II running will provide opportunities for concomitant measurements of other rare kaon decays, including searches for new particles such as dark photons and axion-like particles.

Moving forward beyond the baseline design to obtain better sensitivity and signal acceptance, we are continuing to study and optimize many known effects and techniques, including R&D for possible new detectors and analysis methods.

The importance of the physics program proposed here is recognized world-wide, and deserves a world class experiment (KOTO II) at a world class accelerator facility (J-PARC and Hadron Experimental Facility).

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